



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/DK97/00232 <b>(22) International Filing Date:</b> 21 May 1997 (21.05.97) <b>(30) Priority Data:</b> 0589/96                      21 May 1996 (21.05.96) <b>DK</b> <b>(71) Applicant (for all designated States except US):</b> NOVO NORDISK A/S [DK/DK]; Novo Allé, DK-2880 Bagsværd (DK). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> MÜLLER, Sven [DK/DK]; Humlebækgade 10, 4. tv, DK-2200 København N (DK). DALBØGE, Henrik [DK/DK]; Novo Nordisk a/s, Novo Allé, DK-2880 Bagsværd (DK). <b>(74) Common Representative:</b> NOVO NORDISK A/S; Corporate Patents, Novo Allé, DK-2880 Bagsværd (DK).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> NOVEL YEAST PROMOTERS SUITABLE FOR EXPRESSION CLONING IN YEAST AND HETEROLOGOUS EXPRES- SION OF PROTEINS IN YEAST  <b>(57) Abstract</b>  Novel yeast promoters for either EF1-alpha protein or ribosomal protein S7 gene suitable for expression cloning in yeast and heterologous expression of proteins in yeast. The yeast promoters are preferably active in the pH range 4-11 without peptone and obtained from the yeast strain <i>Yarrowia lipolytica</i> .		

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TITLE: Novel yeast promoters suitable for expression cloning in yeast and heterologous expression of proteins in yeast.

## FIELD OF INVENTION

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## BACKGROUND OF THE INVENTION

The advent of recombinant DNA techniques has made it possible to select single protein components with interesting properties and produce them on a large scale. This represents an improvement over the previously employed production process using micro-organisms isolated from nature and producing a mixture of proteins which would either be used as such or separated after the production step. However, the conventional cloning techniques have the drawback that each protein component has to be purified and characterised by its (partial) amino acid sequence before it is possible to prepare synthetic oligonucleotide probes for hybridisation experiments. Since this is a rather time-consuming process, the cloning of novel proteins might be considerably expedited by using a screening method involving selecting clones expressing a desired protein activity, i.e. expression cloning.

Recently, a novel method for cloning of fungal enzyme genes by expression cloning in yeast was developed by Dalbøge and Heldt-Hansen (A novel method for efficient expression cloning of fungal enzyme genes. Mol. Gen. Genet. 243 : 253-260. (1994), WO 93/11249).

This expression cloning technique combines the ability of a yeast strain (e.g. *Saccharomyces cerevisiae*) to express heterologous genes with the utilisation of sensitive enzyme plate assays. The principle in expression cloning is outlined in figure 1.

This method makes it possible to clone enzyme genes independently of knowledge of the amino acid sequence and has proven successful in cloning a number of new enzymes.

Even though the above described method already have proven successful, there is still room for improvement.

Improvement of the expression cloning technique can be done by identifying new improved promoters, e.g. to increase

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expression of naturally low expressed enzymes and thereby facilitating the subsequent screening.

EP 220864 describes a *Yarrowia lipolytica* yeast promoter XPR2. The XPR2 yeast promoter is only active at pH above 6.0 on media lacking preferred carbon and nitrogen sources and full induction requires high levels of peptone in the culture medium (Ogrydziak, D.M., Demain, A.L., and Tannenbaum, S.R. (1977) *Biochim. Biophys. Acta.* 497 : 525-538.; Ogrydziak, D.M. and Scharf, S.J. (1982). *Gen. Microbiol.* 128 : 1225-1234.).

The demand for pH above 6.0 in the medium makes it difficult to screen directly for secreted enzymes that are active only in an acidic environment.

Therefore, an object of the present invention, is to provide new improved yeast promoters, especially for use in expression cloning in yeast, but also for heterologous expression of a desired polypeptide in an expression system of choice.

#### SUMMARY OF THE INVENTION

The present invention is based on the cloning and characterisation of two DNA sequences shown in SEQ ID NO 1 and 2, respectively, which both:

- 1) have yeast promoter activity, and
- 2) have improved properties for expression cloning in yeast.

Further deletion studies on both yeast promoter sequences have identified the most important regions for each yeast promoter.

For the yeast promoter shown in SEQ ID NO 1, the most important region is from position -241 to -41 and for the yeast promoter shown in SEQ ID NO 2, it is from position -163 to -3. For further details see example 8.

Accordingly, in a first aspect the invention relates to a cloned yeast promoter DNA sequence, which comprises

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a) the DNA sequence from position -241 to -41 shown in SEQ ID NO 1 , or

b) an analogue of the DNA sequence defined in a) which

i) is at least 90 % homologous with said DNA sequence,

5 or

ii) hybridises with the same nucleotide probe as the DNA sequence defined in a).

In a second aspect the invention relates to a cloned yeast promoter DNA sequence, which comprises

a) the DNA sequence from -163 to -3 shown SEQ ID NO 2 , or

b) an analogue of the DNA sequence defined in a) which

i) is at least 90 % homologous with said DNA sequence,

15 or

ii) hybridises with the same nucleotide probe as DNA sequence defined in a).

In a further aspect the invention relates to an expression vector comprising a cloned yeast promoter according to the invention.

In a further aspect the invention relates to the use of said expression vector for expression cloning in yeast.

Further the invention relates to a process for producing a polypeptide of interest in a yeast host cell, the process comprising transforming a suitable yeast host cell with a recombinant expression vector comprising i) a yeast promoter of the invention and ii) a DNA sequence coding for a polypeptide of interest, culturing the transformed cells under suitable conditions to express the polypeptide, and recovering the expressed polypeptide from the culture.

Finally the invention relates to the use of a polypeptide produced as described above for various industrial applications.

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**BRIEF DESCRIPTIONS OF DRAWINGS**

Fig. 1: Flow scheme of expression cloning.

5 Fig. 2: Plasmid used for construction of the genomic libray (A).  
The *SauA* I digested genomic DNA was cloned at the *Bam*HI sites after removal of the kanamycine resistance gene. The kanamycine resistance gene is flanked by two inverted repeats which spoils the ability of the plasmid to replicate unless separated by an insert. (B) Example  
10 of an expression vector used for examination of the different yeast promoter sequences. All expression vectors used contain selection markers and sequences for replication in *E.coli* and *Y.lipolytica* as in pY3X1 (see  
15 figure 2). The different yeast promoter sequences were cloned as *Cla*I/*Bam*HI fragments and tested in constructs in which either the 43kD *Cellulase II* (WO 91/17243) or *Xylanase I* from *Humicola insolens* (WO 92/17573) were used as reporter genes.

20 Fig.3. Yeast strain P01d grown in YP medium added 2% galactose, glucose, glycerol, lactose or maltose. Used to identify optimal conditions for making an P01d cDNA library (see example 1).

25 Fig.4. Frequency of cDNA sequences selected for further examination. L1 and L2 refer to the library from which the sequences come and the subsequent number refers to the clone number in the library concerned. Variation in  
30 starting point of the sequences reflects the cDNA synthesis events. The sequences that include the most of the 5' end of the sequences were used for further analysis. (see example 2-7).

35 Fig.5. Strategy used for sequence determination of the L1.41 related genomic DNA.

Fig.6. Nucleotide sequence of the relevant part of the L1.41

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related genomic DNA (L1.41 is identical to SEQ ID NO 1). The positions are related to the A in the ATG start codon (**bold**), defined as +1. The putative UAS\*boxes HOMOL1 (position +191 - +180) and RPG (+179 - +168) and the T-rich sequence are underlined. The putative TATA box (+111 - +106) and a pyrimidine-rich sequence (+85 - +58) are double underlined. The putative transcription initiation site (+56 - +53) is written in **bold**. Nucleotides located from position +40 and downstream were also present in the cDNA sequence.

Fig.7. The nucleotide sequence and the deduced amino acid sequence of the translation elongation factor EF-1 $\alpha$  cDNA from *Y.lipolytica*. Restriction sites for *Hind*III (position 224) and *Kpn*I (position 353). This sequence is identical to SEQ ID No 3.

Fig.8. Nucleotide sequence of the L2.17 related genomic DNA (L2.17 is identical to SEQ ID NO 2). The positions are related to the A in the ATG start codon defined as +1. The putative UAS\*boxes HOMOL1 (position +273 - +262) and RPG (+247 - +236) and the T-rich sequence (present on the opposite strand) are double underlined Putative TATA boxes (+201 - +190), a TATA-like sequence(+46 - +41) and transcription initiation consensus sequences (+8, +55, +15 and +13) are underlined. The genomic sequence (including the ATG start codon) also present in the cDNA sequence (165-173) and the 3' splice site (176-178) of the intron are written in **bold**.

Fig.9. The nucleotide sequence and the deduced amino acid sequence of Ribosomal protein S7 cDNA from *Y.lipolytica*. The *Kpn*I restriction site (position 445) is underlined. This sequence is identical to SEQ ID No 4.

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Fig.10. The strategy used for deletion analysis of the *TEF* gene yeast promoter sequence (seq. ID. No.1). The part of genomic sequence located upstream the cDNA sequence. (B, C, D) 5' deletions of the sequence in (A). As shown, neither of the deletions affected the putative elements of the basal yeast promoter region. In edition D, the putative UAS\* boxes are deleted.

Fig.11. The strategy planned for deletion analysis of the ribosomal protein S7 yeast promoter sequence (seq. ID No. 2). Successful cloning was only obtained for B, D and F. In D, the putative TATA-box and the putative UAS\*boxes are excluded. In F the TATA-like sequence and the four 3' terminal transcription initiation consensus sequences are excluded.

Fig.12. Initial activity measurement of the yeast promoters of the invention (A and B). SC-leu growth plate + AZCL Birch xylan substrate (B). POld XPR2 optimal medium growth plates + AXCL HE-cellulose substrate (C). XPR2 optimal medium growth plates + AZCL Birch xylan substrate (D). The vector constructions are described in Table 1.

## DETAILED DESCRIPTION OF THE INVENTION

### Cloned yeast promoters

In preferred embodiments the present invention provides two cloned yeast promoters. One of the promoters comprises

- a) the DNA sequence from position -241 to -41 shown in SEQ ID NO 1, or
- b) an analogue of the DNA sequence defined in a) which
  - i) is at least 90 % homologous with said DNA sequence,
  - or
  - ii) hybridises with the DNA sequence defined in a).

The other promoter comprises



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- a) the DNA sequence from -163 to -3 shown SEQ ID NO 2 , or  
b) an analogue of the DNA sequence defined in a) which  
    i) is at least 90 % homologous with said DNA sequence,  
or  
5       ii) hybridises with the DNA sequence defined in a).

The promoters of the invention may comprise additional nucleotides to those specified above. In particular the promoter may comprise nucleotides -407 to -41 of SEQ ID NO 1 or  
10 nucleotides -543 to -3 of SEQ ID NO 2.

A cloned yeast promoter, refers to a yeast promoter cloned by standard cloning procedure used in genetic engineering to relocate a segment of DNA from its natural location to a different site where it will be reproduced. The cloning process  
15 involves excision and isolation of the desired DNA segment, insertion of the piece of DNA into the vector molecule and incorporation of the recombinant vector into a cell where multiple copies or clones of the DNA segment will be replicated.

As defined herein, a DNA sequence analogous to either of  
20 the two isolated DNA sequence of the present invention is intended to indicate any yeast promoter DNA sequence, which DNA sequence has one or more of the properties cited under (i)-(ii) above.

The yeast promoter DNA sequence of the invention may be  
25 isolated from a *Yarrowia lipolytica* yeast strain, or another or related organism, as will be described in further detail further below (see section "Microbial sources").

Alternatively, the promoter sequence of the invention may be constructed on the basis of the DNA sequence presented as  
30 DNA sequence shown in SEQ ID NO 1 or SEQ ID NO 2, e.g. be a subsequence thereof, or a DNA sequence resulting from introduction of one or more nucleotide substitutions (i.e. deletions, insertions, substitutions, or addition of one or more nucleotides in the sequence) which do not effect (in particular impair) the  
35 yeast promoter activity.

Regions which can be modified without significantly effecting the yeast promoter activity can be identified by deletion studies. For further details see example 8.

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The homology referred to in i) above is determined as the degree of identity between the two sequences indicating a derivation of the first sequence from the second. The homology may suitably be determined by means of computer programs known in the art such as GAP provided in the GCG program package (Needleman, S.B. and Wunsch, C.D., (1970), Journal of Molecular Biology, 48, 443-453). Using GAP with the following settings for DNA sequence comparison: GAP creation penalty of 5.0 and GAP extension penalty of 0.3, the coding region of the DNA sequence exhibits a degree of identity preferably of at least 90%, more preferably at least more preferably at least 95%, more preferably at least 97% with any of the DNA sequence shown in SEQ ID No. 1 or 2.

The hybridisation referred to in (ii) above is intended to indicate that the analogous DNA sequence hybridises to the yeast promoter DNA sequence under certain specified conditions which are described in detail in the Materials and Methods section hereinafter. The oligonucleotide probe to be used is the DNA sequence from position -241 to -41 in SEQ ID NO 1 or from -163 to -3 in SEQ ID NO 2. The oligonucleotide probe used herein is preferably a double-stranded DNA probe.

The DNA sequence encoding a yeast promoter of the invention can be isolated from a suitable organism by colony hybridisation using a 5'-cDNA sequence from the corresponding coding sequence.

An example of a flowscheme for such a cloning strategy is given just below and for further details see example 1-7.

Construct a yeast promoter containing cDNA libraries, e.g. a *Y.lipolytica* cDNA library

Determine sequences of 100 arbitrarily chosen clones from each library - examine for repeats

Identify the yeast promoter sequences of highly expressed genes by hybridization to a genomic library

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Clone the yeast promoters in reporter constructs and characterize the yeast promoter.

Alternatively, the DNA encoding a yeast promoter of the invention may, in accordance with well-known procedures, conveniently be isolated from a suitable source, such as any of the below mentioned organisms, by use of synthetic oligonucleotide probes prepared on the basis a DNA sequence disclosed herein. For instance, a suitable oligonucleotide probe may be prepared on the basis of nucleotide sequences presented as SEQ ID No. 1 or 2.

#### Expression cloning

In the present context the term "expression cloning in yeast" refers to the technique described by Dalbøge and Heldt-Hansen (A novel method for efficient expression cloning of fungal enzyme genes. Mol. Gen. Genet. 243 : 253-260. (1994), WO 93/11249).

The principle in the expression cloning technique is further outlined in figure 1.

Briefly the principle of the technique is following. When an organism that secretes an enzyme of interest is identified, it is grown at inducing conditions and poly(A) enriched RNA is isolated. A directional cDNA library is constructed in a *E. coli* /yeast shuttle vector under control of a yeast promoter and *E. coli* is transformed. Plasmid DNA is isolated and introduced into a yeast strain, e.g. *S. cerevisiae*. The yeast cells are spread on selective growth plates and replicated to selective and inducing plates which contain the relevant enzyme substrate, e.g. xylan when screening for xylanase activity. The xylan is e.g. added as cross linked insoluble granules, which in the presence of xylanase activity will be degraded, leading to a dyed halo formation around the positive yeast colonies.

When a positive yeast colony has been identified and retested, the cDNA is isolated and cloned in an *A. oryzae* expression vector. *A. oryzae* is transformed which makes large scale production of the enzyme possible (Christensen et al, 1988).

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Yeast promoter:

Yeast promoter refers to the nucleotide sequence(s) at the 5' end of a structural gene which direct(s) the initiation of transcription. The promoter sequence is to drive the expression of a downstream gene. The promoter drives transcription by providing binding sites to RNA polymerases and other initiation and activation factors. Usually the promoter drives transcription preferentially in the downstream direction. The level of transcription is regulated by the promoter. Thus, in the construction of heterologous promoter/structural gene combinations, the structural gene is placed under the regulatory control of a promoter such that the expression of the gene is controlled by the promoter sequence(s). The promoter is positioned preferentially upstream to the structural gene and at a distance from the transcription start site that approximates the distance between the promoter and the gene it controls in its natural setting. As it is known in the art, some variation in this distance can be tolerated without loss of promoter function.

The transcription efficiency of the promoter may, for instance, be determined by a direct measurement of the amount of mRNA transcription from the promoter, e.g. by Northern blotting or primer extension, or indirectly by measuring the amount of gene product expressed from the promoter.

A FastA search (Pearson and Lipman. P.N.A.S. USA 85: 2444-2448 (1988)) on the GenEMBL database showed significant similarity of the downstream cDNA sequence, controlled by the yeast promoter shown in SEQ ID NO 1, to the translation elongation factor EF-1a gene (*TEF*) of various sources, e.g. *Arxula adeninivorans*, *Neurospora crassa* and *Saccharomyces cerevisiae*.

In the present context the term "EF-1a yeast promoter" is used to indicate the upstream untranslated region upstream of the ATG start codon for the EF-1 a gene (e.g. ATG start codon in SEQ ID NO 3) which contain most, if not all, features required for expression. For further details see Example 6.

A similar FastA search on cDNA sequence, controlled by the yeast promoter shown in SEQ ID NO 2 showed significant

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similarity to the ribosomal protein S7 (RP s7) of *S. cerevisiae* and the corresponding ribosomal protein S4 of e.g. *D. melanogaster* and *H. sapiens*.

In the present context the term "ribosomal protein S7 yeast promoter" is used to indicate the upstream untranslated region upstream of the ATG start codon for the ribosomal protein S7 gene (e.g. ATG start codon in SEQ ID NO 4) which contain most, if not all, features required for expression. For further details see Example 7.

Both the EF-1a and ribosomal protein S7 are essential for growth of *Y. lipolytica*. Thus the pH tolerance of both the EF-1a yeast promoter and ribosomal protein S7 yeast promoter is as least the pH range where *Y. lipolytica* is able to growth.

For both yeast promoters of the invention this is estimated to be in the pH range preferably from 4-11, more preferably from 4-10, more preferably from 4-9, more preferably from 4-8, more preferably from 5-11, more preferably from 5-10, more preferably from 5-9, more preferably from 5-8.

In the context of expression cloning an ideal yeast promoter meet the following criteria:

Strength. A strong yeast promoter is a necessary premise for a high expression level, and the low copy number of the ars18 Fournier, P. et al. Yeast 7:25-36 (1991)) based expression vectors makes this demand even more important when *Y. lipolytica* is used as the host organism.

Activity in a suitable medium. In the context of expression cloning a suitable medium is a medium from which it is easy to purify the secreted product for initial characterisation and it is a medium which is selective.

Use of a selective medium makes it possible to screen directly for positive clones.

pH tolerance. If the enzymes of interest are known to be active only in e.g. an acidic environment, direct screening will only be possible on corresponding plates. pH tolerance is of course limited by the tolerance of the host organism.

Inducibility. A tightly regulated yeast promoter makes it possible to

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separate the growth stage from the expression stage, thereby enabling expression of products which are known to inhibit cell growth.

The *Yarrowia lipolytica* XPR2 yeast promoter of the prior art:

The XPR2 gene from *Y. lipolytica* encodes an inducible alkaline extracellular protease (AEP) which is the major protein secreted by this yeast (Davidow et al, 1987 b). Induction of AEP occurs at pH above 6.0 on media lacking preferred carbon and nitrogen sources and full induction requires high levels of peptones in the culture medium (Ogrydziak et al, 1977; Ogrydziak and Scharf, 1982). The regulation of the XPR2 gene is very complex and not yet fully understood.

The fact that the XPR2 yeast promoter is only active at pH above 6.0 on media lacking preferred carbon and nitrogen sources and full induction requires high levels of peptone in the culture medium is highly disadvantageous for the use of such yeast promoter in expression cloning in yeast. The demand for pH above 6.0 in the medium makes it impossible to screen directly for secreted enzymes that are active only in an acidic environment. The presence of peptone in the medium complicates product recovery and purification. Finally the presence of peptone hinders the direct screening for transformants based on LEU2 selection.

In contrast to the known XPR2 yeast promoter of *Y. lipolytica* the yeast promoters of the present invention is active preferably in the pH range from 4 to 11 (see above), and do not require peptone in the medium or any other ingredients, which seriously complicates product recovery and purification. Therefore the yeast promoter of the invention is highly suitable for use in expression cloning in yeast and recombinant expression in general.

A comparative study of the XPR2 yeast promoter and the yeast promoters of the invention is provided in Example 9. In example 9 it is shown that the yeast promoters of the invention is improved compared to the XPR2 yeast promoter, when tested for yeast promoter activity on growth plates, which can be considered as an imitation of a screening event.

### Microbial Sources

In a preferred embodiment, a yeast promoter of the invention is derived from a *Yarrowia lipolytica* yeast strain.

5 It is at present contemplated that a yeast promoter of the invention, i.e. an analogous yeast promoter, may be obtained from other micro-organisms. For instance, the yeast promoter may be derived from other yeast strains, such as a strain of *Saccharomyces cerevisiae*.

10

### Expression vector

In another aspect, the invention provides a recombinant expression vector comprising a yeast promoter of the invention.

The expression vector of the invention may be any  
15 expression vector that is conveniently subjected to recombinant DNA procedures, and the choice of vector will often depend on the host cell into which it is to be introduced.

The expression vector may e.g. be used for achieving expression cloning in yeast or for the production of  
20 heterologous polypeptide of interest. In the latter case, the expression vector comprises i) a yeast promoter of the invention and ii) a DNA sequence coding for a polypeptide of interest.

In a expression vector for use in expression cloning in yeast, cDNA's to be screened according to the expression cloning  
25 technique described in WO 93/11249 should be operable connected to a yeast promoter of the present invention and a terminator sequence (see WO 93/11249).

Further the expression vector may be used to enable recombinant production of a heterologous and/or homologous  
30 protein of interest, preferably an enzyme of interest.

The procedures used to ligate the DNA sequences coding for the cDNA library, a DNA sequence coding for a protein of interest, the yeast promoter and the terminator, respectively, and to insert them into suitable vectors are well known to  
35 persons skilled in the art (cf., for instance, Sambrook et al., (1989), Molecular Cloning. A Laboratory Manual, Cold Spring Harbor, NY).

Yeast host cells

In yet another aspect the invention provides a host cell comprising the recombinant expression vector of the invention.

5 Preferably, the host cell of the invention is a eucaryotic cell, in particular a yeast cell.

Examples of such yeast host cell include, but are not limited to a strain of *Saccharomyces*, in particular *Saccharomyces cerevisiae*, *Saccharomyces kluyveri* or *Saccharomyces uvarum*,  
10 a strain of *Schizosaccharomyces* sp., such as *Schizosaccharomyces pombe*, a strain of *Hansenula* sp., *Pichia* sp., *Yarrowia* sp., such as *Yarrowia lipolytica*, or *Kluyveromyces* sp., such as *Kluyveromyces lactis*.

Especially a strain of *Yarrowia lipolytica* is a suitable  
15 host for the present invention.

Process of producing a polypeptide

In a still further aspect, the present invention provides a process of producing polypeptide of interest, wherein  
20 a suitable host cell, which has been transformed with a expression vector comprising i) the yeast promoter of the invention and ii) a DNA sequence coding for a polypeptide of interest, is cultured under conditions permitting the production of the polypeptide, and the resulting polypeptide is recovered  
25 from the culture.

The polypeptide may be a protein, e.g. an enzyme such as a protease, amylase or lipase.

The medium used to culture the transformed host cells may be any conventional medium suitable for growing the host  
30 cells in question. The expressed polypeptide of interest may conveniently be secreted into the culture medium and may be recovered therefrom by well-known procedures including separating the cells from the medium by centrifugation or filtration, precipitating proteinaceous components of the medium by means of  
35 a salt such as ammonium sulphate, followed by chromatographic procedures such as ion exchange chromatography, affinity chromatography, or the like.



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The invention is described in further detail in the following examples which are not in any way intended to limit the scope of the invention as claimed.

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**MATERIALS AND METHODS****General methods**

If not further specified, all the experimental techniques referred to below were performed by standard techniques within the field of recombinant DNA technology, cf. Sambrook et al., 1989.

All experimental techniques include among others construction of plasmids, ligation, transformation, sequencing, hybridization, and etc.

Restriction endonucleases were purchased from New England Biolabs and Boehringer Mannheim and used as recommended by the manufacturers. T4 DNA ligase was purchased from New England Biolabs and used as recommended by the manufacturer.

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**Strains, plasmids, and transformation procedures.**

Bacterial strains used were *Escherichia coli* MC1061 (Wertman, K.F. et al 1986); SJ2, a derivative of C600 (Raleigh, E.A. et al 1988); and DH10B<sup>0</sup> (Gibco BRL). The *Yarrowia lipolytica* strain used was P01d (W29 derivative) *ura* 3-302, *leu* 2-270, *xpr* 2-322 a (gift from Claude Gaillardin, Centre de Biotechnologie Agro-Industrielle, France.)

Plasmids used are described in table I and figure 2. Those carrying deletions in the cloned yeast promoters are described in figure 10 and 13. All deletions were introduced into pY5TA- 43kD/X1 or pY5RB- 43kD/X1.

*Y.lipolytica* was transformed by electrotransformation. SJ2 and DH10B were transformed by electrotransformation and MC1061 by ordinary transformation.

30

**Enzymes used as reporter genes:**

43kD Cellulase II from *Humicola insolens* described in WO 91/17243.

Xylanase I from *Humicola insolens* described in WO 92/17573.

35

**TABLE I. Plasmids used (except those carrying yeast promoter deletions).**

Plasmid	Use/ relevant features	Source
pSJ1678	Bacillus/E.coli shuttle vector used for cloning of <i>Sau</i> 3A I digested P01d genomic DNA (figure 2).	
PUC19	Used for sequence determination of positives Yanisch- from P01d genomic library originally cloned Perron, in pSJ1678.	C. et al 1985
pYES 2.0	Used for cloning of P01d cDNA libraries as <i>Bst</i> XI/ <i>Not</i> I fragments.	Invitroge n USA
pY343kD	<i>Y.lipolytica</i> expression vector based on the <i>XPR2</i> yeast promoter and the <i>LEU2</i> gene as a selection marker. The 43kD cellulase II from <i>Humicola insolens</i> is used as a reporter gene. pY343kD is similar to pY3X1 (figure 2), where in pY343kD cellulase II is used as reporter gene in stead of Xylanase I.	
PY3X1	<i>Y.lipolytica</i> expression vector based on the <i>XPR2</i> yeast promoter and the <i>LEU2</i> gene as a selection marker. The xylanase I from <i>Humicola insolens</i> is used as a reporter gene, (see figure 2).	
PY5TA43k D	Based on pY343kD. The <i>XPR2</i> yeast promoter sequence has been removed as a <i>Cla</i> I/ <i>Bam</i> HI fragment and replaced by the translational elongation factor 1a yeast promoter sequence edition A cloned in this study, (see figure 10).	
PY5TAX1	Based on pY3X1. The <i>XPR2</i> yeast promoter sequence has been removed as a <i>Cla</i> I/ <i>Bam</i> HI fragment and replaced by the translational elongation factor 1a yeast promoter sequence edition A cloned in this study, (see figure	

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10).

- PY5RB43k As pY5TA43kD, except that the ribosomal  
D protein S7 yeast promoter sequence edition B  
cloned in this study is used as the yeast  
promoter, (see figure 11).
- PY5RBX1 As pY5TAX1, except that the ribosomal protein  
S7 yeast promoter sequence edition B cloned  
in this study is used as the yeast promoter,  
(see figure 11).
- PY543kDC Control vector based on pY343kD. The XPR2  
V yeast promoter sequence has been removed as a  
*ClaI/BamHI* fragment and the vector religated  
after blunt ending by Mung Bean Nuclease  
treatment.
- PY5X1CV Control vector based on pY3X1. The XPR2 yeast  
promoter sequence has been  
removed as a *ClaI/BamHI* fragment and the  
vector religated after blunt ending by Mung  
Bean Nuclease treatment.

#### Further details of strains:

##### E. coli strains

- 5 For use in the vector construction work:

##### **MC1061**

F<sup>-</sup> *araD139 D(ara-leu)7696 galE15 galK16 D(lac)X74 rpsL (Str<sup>r</sup>)*  
*hsdR2 (r<sub>k</sub><sup>-</sup> m<sub>k</sub><sup>+</sup>) mcrA mcrB1*

- 10 As host strain for *Yarrowia lipolytica* P01d cDNA libraries:

##### **DH10B** (Gibco BRL)

F *mcrA D(mrr-hsdRMS-mcrBC) F80dlacZDM15 DlacX74 deoR recA1 endA1*  
*araD139 D(ara, leu)7697 galU gal K l<sup>-</sup> rpsL nupG*

- 15 As host strain for *Yarrowia lipolytica* P01d genomic library:

**SJ2:** A C600 derivate (Raleigh et al, 1988).

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For site-specific mutagenesis on pY1:

*E. coli* BMH71-18 mut *S. thi* sup E  $\Delta$ (lac-pro AB) [mutS::Tn10] F'  
[proAB<sup>+</sup> lacI<sup>q</sup> lac Z  $\Delta$ M15]

5

### Yeast strains

#### *Yarrowia lipolytica*

10

**P01d:** ura 3-302 leu 2-270 xpr 2-322

**E129:** Mat A lys 11-23 ura 3-302 leu 2-270 xpr 2-322

**E150:** Mat B his -1 ura 3-302 leu 2-270 xpr 2-322

15 ura 3-302 is a disruption of *URA3*

leu 2-270 is an internal deletion

xpr 2-322 is a deletion removing transcriptional start, ATG, and part of the pre-pro region.

20 *Saccharomyces cerevisiae* **JG169:**

W 3124:

Mat a ura 3-52 leu 2 - 3,112 his 3-D200 pep4-1137 D  
prc1::HIS3 prb1::LEU 2 cir<sup>+</sup>

25 *Hansenula polymorpha* **A16:**

Transformant are selected on the basis of a defective Leucine gene.

*Schizosaccharomyces pombe* **972:** h ura4-294

30

*Kluyveromyces lactis* **MW98-8C:** Mat a uraA arg lys K<sup>+</sup> pKD1<sup>0</sup>

### **Transformation of yeast cells:**

35 Electro-competent yeast cells

This *S. cerevisiae* protocol was used without modifications to make electro-competent *Yarrowia lipolytica*

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P01d cells.

1. Inoculate 500 ml YPD with an aliquot from an overnight culture. Grow with vigorous shaking at 30°C to an OD<sub>600</sub> of 1.3 - 1.5 (approximately  $1 \times 10^8$  cells/ml).
- 5 2. Divide the culture into two centrifuge bottles and spin at 5000 rpm for 5' at 4°C in a Beckman centrifuge. Discard the supernatant.
3. Resuspend in a total of 500 ml ice-cold sterile water and centrifuge as above. Discard the supernatant.
- 10 4. Resuspend in a total of 250 ml. Pool the two 125 ml aliquots into a single bottle and centrifuge as above. Discard the supernatant.
5. Resuspend in 20 ml ice-cold 1 M sorbitol. Transfer to a chilled 30 ml centrifuge tube. Centrifuge as above and discard  
15 the supernatant.
6. Resuspend by adding 0.5 ml ice-cold 1 M sorbitol. Store on ice.

The cells can be stored at  $\pm 80^\circ\text{C}$  for several month.

- 20 It is very important to keep the culture and all solutions cold during the treatment of the cells.

#### Culture media and growth conditions.

- Prior to the construction of cDNA libraries, initial  
25 growth experiments with P01d were performed in YP medium, with addition of 2 % of the various carbohydrate sources tested. Cells were grown at 30°C. MC1061 and DH10B transformants were grown in LB medium + 100 mg/ml ampicillin. SJ2, transformed with pSJ1678, in which P01d genomic DNA was cloned as *Sau* 3A I  
30 fragments, was grown in LB+10 mg/ml chloramphenicol. For Northern blot analysis and construction of cDNA libraries, P01d cultures were grown in YP + 2% glucose or 2% glycerol (library 1 and 2 respectively) at 30°C and cells were harvested late in the logarithmic phase at a optical density of 600 nm (OD<sub>600</sub>) of 5.5.  
35 For construction of a genomic library and Southern blot analysis P01d cultures were grown in YP-glucose. For cellulase and xylanase assays, positives were precultured in SC, leu medium and the respective inducing growth media inoculated to an OD<sub>600</sub> of

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0.1. Transformants containing XPR2 yeast promoter based vectors were grown in XPR2 optimal medium. SC,leu medium was used as inducing medium for transformants in which the novel yeast promoter sequences were introduced. Transformants were grown in 5 100 ml media in 500 ml bottles at 30°C, 250 rpm. Samples were taken 3 times during the logarithmic phase (SC,leu cultures  $OD_{600} < 0.5$ , XPR2 optimal medium cultures  $OD_{600} < 10$  and 3 times during the stationary phase.

**Extraction of total RNA** is performed with guanidinium 10 thiocyanate followed by ultracentrifugation through a 5.7 M CsCl cushion, and isolation of poly(A)<sup>+</sup>RNA is carried out by oligo(dT)-cellulose affinity chromatography using the procedures described in WO 94/14953.

**cDNA synthesis:** Double-stranded cDNA is synthesized from 15 5 mg poly(A)<sup>+</sup> RNA by the RNase H method (Gubler and Hoffman (1983) Gene 25:263-269, Sambrook et al. (1989) Molecular cloning: A laboratory manual, Cold Spring Harbor lab., Cold Spring Harbor, NY) using the hair-pin modification developed by F. S. Hagen (pers. comm.). The poly(A)<sup>+</sup> RNA (5 mg in 5 ml of 20 DEPC-treated water) is heated at 70°C for 8 min. in a pre-siliconized, RNase-free Eppendorph tube, quenched on ice and combined in a final volume of 50 ml with reverse transcriptase buffer (50 mM Tris-Cl, pH 8.3, 75 mM KCl, 3 mM MgCl<sub>2</sub>, 10 mM DTT, Bethesda Research Laboratories) containing 1 mM of dATP, dGTP 25 and dTTP and 0.5 mM 5-methyl-dCTP (Pharmacia), 40 units human placental ribonuclease inhibitor (RNasin, Promega), 1.45 mg of oligo(dT)<sub>18</sub>-Not I primer (Pharmacia) and 1000 units SuperScript II RNase H reverse transcriptase (Bethesda Research Laboratories). First-strand cDNA is synthesized by incubating 30 the reaction mixture at 45°C for 1 hour. After synthesis, the mRNA:cDNA hybrid mixture is gelfiltrated through a MicroSpin S-400 HR (Pharmacia) spin column according to the manufacturer's instructions.

After the gelfiltration, the hybrids are diluted in 250 35 ml second strand buffer (20 mM Tris-Cl, pH 7.4, 90 mM KCl, 4.6 mM MgCl<sub>2</sub>, 10 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 0.16 mM bNAD<sup>+</sup>) containing 200 mM of each dNTP, 60 units E. coli DNA polymerase I (Pharmacia), 5.25 units RNase H (Promega) and 15 units E. coli DNA ligase

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(Boehringer Mannheim). Second strand cDNA synthesis is performed by incubating the reaction tube at 16°C for 2 hours and additional 15 min. at 25°C. The reaction is stopped by addition of EDTA to a final concentration of 20 mM followed by phenol and  
5 chloroform extractions.

**Mung bean nuclease treatment:** The double-stranded cDNA is precipitated at -20°C for 12 hours by addition of 2 vols 96% EtOH, 0.2 vol 10 M NH<sub>4</sub>Ac, recovered by centrifugation, washed in 70% EtOH, dried and resuspended in 30 ml Mung bean nuclease  
10 buffer (30 mM NaAc, pH 4.6, 300 mM NaCl, 1 mM ZnSO<sub>4</sub>, 0.35 mM DTT, 2% glycerol) containing 25 units Mung bean nuclease (Pharmacia). The single-stranded hair-pin DNA is clipped by incubating the reaction at 30°C for 30 min., followed by addition of 70 ml 10 mM Tris-Cl, pH 7.5, 1 mM EDTA, phenol extraction and  
15 precipitation with 2 vols of 96% EtOH and 0.1 vol 3 M NaAc, pH 5.2 on ice for 30 min.

**Blunt-ending with T4 DNA polymerase:** The double-stranded cDNAs are recovered by centrifugation and blunt-ended in 30 ml T4 DNA polymerase buffer (20 mM Tris-acetate, pH 7.9, 10 mM  
20 MgAc, 50 mM KAc, 1 mM DTT) containing 0.5 mM of each dNTP and 5 units T4 DNA polymerase (New England Biolabs) by incubating the reaction mixture at 16°C for 1 hour. The reaction is stopped by addition of EDTA to a final concentration of 20 mM, followed by phenol and chloroform extractions, and precipitation for 12  
25 hours at -20°C by adding 2 vols 96% EtOH and 0.1 vol 3 M NaAc pH 5.2.

**Adaptor ligation, Not I digestion and size selection:**

After the fill-in reaction the cDNAs are recovered by centrifugation, washed in 70% EtOH and dried. The cDNA pellet is  
30 resuspended in 25 ml ligation buffer (30 mM Tris-Cl, pH 7.8, 10 mM MgCl<sub>2</sub>, 10 mM DTT, 0.5 mM ATP) containing 2.5 mg non-palindromic BstXI adaptors (Invitrogen) and 30 units T4 ligase (Promega) and incubated at 16°C for 12 hours. The reaction is stopped by heating at 65°C for 20 min. and then cooling on ice  
35 for 5 min. The adapted cDNA is digested with Not I restriction enzyme by addition of 20 ml water, 5 ml 10x Not I restriction enzyme buffer (New England Biolabs) and 50 units Not I (New England Biolabs), followed by incubation for 2.5 hours at 37°C.



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The reaction is stopped by heating at 65°C for 10 min. The cDNAs are size-fractionated by gel electrophoresis on a 0.8% SeaPlaque GTG low melting temperature agarose gel (FMC) in 1x TBE to separate unligated adaptors and small cDNAs. The cDNA is size-selected with a cut-off at 0.7 kb and rescued from the gel by use of  $\beta$ -Agarase (New England Biolabs) according to the manufacturer's instructions and precipitated for 12 hours at -20°C by adding 2 vols 96% EtOH and 0.1 vol 3 M NaAc pH 5.2.

#### 10 Construction of directional cDNA libraries.

Total RNA was extracted and poly(A)+RNA isolated. From 500 ml cultures ( $\approx 2.75 \times 10^{10}$  cells) a yield of 1.9 mg and 2.9 mg total RNA was obtained (library 1 and 2 respectively). Isolation of poly(A)+RNA yielded 1.1 % and 2.2 % (library 1 and 2 respectively). Double stranded cDNA was synthesised from 5 mg poly(A)+RNA as described. The method includes introduction of a 3' NotI site by the oligo(dT)-NotI anchor primer. Size estimation of the double stranded cDNA on 1 % agarose showed a distribution of the product between 0.3 Kb and 10 Kb. Removal of the single stranded hairpin DNA by Mung bean nuclease treatment and blunt ending with T4 DNA polymerase was followed by ligation of non-palindromic BstXI adaptors. After NotI digest a 5'BstXI 3'NotI product was obtained. The cDNA was size fractionated on a 0.8 % low melt agarose gel and fragments > 0.8 Kb were purified. Test ligations with different amounts of BstXI/NotI digested pYES 2.0 vectors, followed by electrotransformation of DH10B, did not result in saturation of the vector in any cases. Thus the sizes of the libraries were estimated to be at least  $2 \times 10^6$  and  $3 \times 10^5$  (library 1 and 2 respectively).

30

#### Sequence determination.

All sequence determinations were performed on ABI 373 or 377 DNA Sequencer and analysed by use of Sequencer 2.1 or 3.0 (Gene Codes Corporation, USA). Initial sequence determination of cDNA clones was performed only on one strand at the 5' end by use of a single primer. Selected cDNA clones were sequenced on both strands except at the sequence just upstream the poly A tail, where a single strand was sequenced twice with different

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primers. Sequence determination of positive clones from the genomic libraries was not possible when present in the pSJ1678 background, why these inserts were cloned in pUC19 prior to sequence determination of both strands. The genomic insert that  
5 responded to the L1.41 based probe was cloned in pUC19 as a *SalI* fragment, and the insert that responded to the L2.17 based probe was cloned as a *PstI* fragment, due to the presence of the remaining practicable cloning sites internal in these inserts.

#### 10 Southern and Northern blots.

Southern blot analysis was carried out at standard conditions. For each analysis 4 x 10 mg P0ld genomic DNA was digested to completion (20 unit enzyme, 3 hours incubation + additional 10 unit enzyme and 2 hours incubation) and  
15 fractionated on 1 % Sea Kem GTG agarose (FMC Bioproducts). To examine for DNase contamination 5 mg genomic DNA was incubated for 5 hours in one of the restriction buffers used for digestion. Polymerase chain reaction (PCR) copies of the respective cDNA's were used as probes. Radioactive labelling of  
20 the DNA by random priming was carried out.

Northern blot analysis was carried out at standard conditions. 2 x 2.5 mg poly(A)+RNA from library 1 and 2 was fractionated on 1 % Sea Kem GTG agarose gels. One gel was used for ethidium bromide staining (60' in 0,1M NH<sub>4</sub>Ac, 0.5 mg/ml  
25 EtBr) one gel was used for blotting. The same probes as in the Southern blot analysis were used. The membrane was exposed for 45' prior to development.

#### Preparation of total DNA from yeast:

30 The optimal method for preparation of total DNA from yeast depends on the yeast strain. In case of *Yarrowia lipolytica* a modified *S. cerevisiae* protocol has been used.

1. Inoculate 20 ml YPD in a 100 ml shake flask and ferment at  
35 30°C O.N.
2. Spin 5' at 5000 rpm.

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3. Remove supernatant and resuspend cells in 400  $\mu$ l 0.9 M Sorbitol, 0.1 M EDTA pH 7.5, 14 mM  $\beta$ -mercaptoethanol.
4. Add 100  $\mu$ l Novozym (2 mg/ml) and incubate 30' at 37°C. (At this point one should be able to monitor spheroplast formation)
5. Spin 30'' in a microfuge.
6. Remove supernatant and resuspend pellet in 400  $\mu$ l TE + 5  $\mu$ l 10X RNase A +T (boil 10' before use).
7. Add 90  $\mu$ l of fresh made 1.5 ml 0.5 M EDTA pH 8.0 (final 280 mM), 0.6 ml 2 M Tris (final 444 mM), 0.6 ml 10 % SDS (final 2.2 %)
8. Incubate 30' at 65°C
9. Add 80  $\mu$ l 5 M KAc. Vortex and leave on ice 30'.
10. Spin 15' at 20.000 G.
11. Transfer supernatant to a new tube. Add 1 vol. phenol/chloroform, vortex and leave at 65 °C 10'. Spin 5' at 20.000 G.
12. Transfer upper fase to a new tube and add 1 vol. chloroform. Vortex and spin. Move upper fase to a new tube. Add 3 x vol. 96 % EtOH. Mix carefully.
13. Spin 5' at 20.000 G.
14. Wash pellet in 70 % EtOH and dry pellet at R.T.
15. Resuspend pellet gently in 250  $\mu$ l of a suitable buffer.

## 25 Construction of a genomic library.

Total P0ld DNA was prepared from a YPD culture. Partial Sau3A I digested DNA was fractionated on a 1 % low melt agarose gel and 1 - 2 Kb fragments were purified by use of  $\beta$ -agarase. DNA fragments were ligated with pSJ1678 from which the kanamycine resistance gene was deleted as a *Bam*HI fragment and introduced into SJ2 by electrotransformation. A library > 45.000 clones was established with a vector background level < 0.5 %. According to the formula by Clark and Carbon (1976) this library size corresponds to a 95 % probability that an arbitrary sequence is represented:  $N = \ln(1,P) / \ln(1,f)$ , where P equals the probability that a given unique DNA sequence is present in a

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collection of N transformant colonies and f is the fraction of the total genome used as a source for each fragment. The calculation relies on the assumptions that the *Sau3A* I restriction sites are randomly distributed throughout the genome, that all  
5 fragments ligate equally well within the size distribution of the fragments (average size = 1.5 Kb), and that the P0ld genome has a size of 20 Mb.

Plasmid DNA was isolated from 20 colonies and cut with *HindIII*. Analysis on 1 % agarose gel showed a random distribu-  
10 tion of inserts between 1 Kb and 2 Kb (data not shown).

### Colony hybridization.

Colony hybridization was performed at standard conditions. 5 replicas of the genomic library were made (3 for hybridization, 1 for plasmid DNA preparation and 1 backup). 400 bp  
15 PCR copies of the 5' end of the respective cDNA clones were used as probes.

### PCR and cloning of yeast promoter sequences.

20 PCR was performed at standard conditions, except for primer annealing temperature, which was raised to 60°C. All products were purified prior to further use with QIAquick PCR Purification kit (QIAGEN) as recommended by the manufacturer.

PCR copies of the identified yeast promoter sequences  
25 were cloned in the expression vectors as 5'*ClaI* 3'*BamHI* fragments. These cloning sites were introduced by the PCR primers. The number of bases flanking the restriction sequences on the primers was selected as recommended by New England Biolabs®. In the Ribosomal protein S7 yeast promoter sequence, a *BamHI*  
30 site was present close to the 5' end. Therefore, only the sequence located downstream this site was introduced in the expression vectors. Further, an internal *ClaI* site was present at position , 269 from the putative translational ATG start codon. PCR editions affected by this restriction site were digested  
35 with *BamHI*, purified with QIAquick PCR Purification kit (QIAGEN), followed by a partial digest with *ClaI*. Relevant fragments were purified from 1.5 % low melt agarose gel prior to ligation and transformation of MC1061. Despite repeated efforts,

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successful cloning was only obtained with some of the Ribosomal protein S7 yeast promoter editions. Due to time constraints, this cloning problem was not examined any further. The different PCR copies of the Elongation factor 1 a yeast promoter sequence, 5 and the edition of the Ribosomal protein S7 yeast promoter unaffected by the *ClaI* site were *ClaI/BamI* digested, purified with QIAquick PCR Purification kit (QIAGEN), and introduced into MC1061. Successful cloning was verified by *ClaI/BamI* digest of Qiagen DNA preparations and analysis on 2 % agarose gel. All the 10 cloned PCR copies of the yeast promoters were sequenced and compared with the original genomic sequences in order to examine for misincorporations. No errors were detected.

#### Control vectors

15 Control vectors for the enzyme activity experiments were constructed on the basis of pY3- 43kD/X1. The *XPR2* yeast promoter sequences were removed as *ClaI/BamHI* fragments, vectors were blunt ended by Mung bean nuclease treatment, religated and amplified in MC1061. Successful nuclease treatment was verified 20 by restriction analysis and confirmed by sequence determination.

#### Enzymatic activity assays:

##### Cellulase I, Cellulase II, and Xylanase I:

Activity determination of Cellulase I, Cellulase II, and 25 Xylanase I was made in liquid assays by the use of AZCL (AZurin dyed Cross Linked) substrates (MEGAZYME Australia).

Mix in an eppendorf tube:

- 100 - 145 µl optimal buffer
- 30 • 50 µl supernatant (in case of supernatant samples S1 - S3 from xylanase I cultures only 5 µl) sample blank, standard or standard blank.
- 100 µl 0.4 % AZCL substrate in milliQ water.

35 All samples are placed on ice during the treatment.

Incubate in a thermomixer at 40 °C, 1200 rpm. for 15 - 45 minutes (Standard OD<sub>620</sub> > 0.2).

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Spinn 5' at 20.000 G and measure OD<sub>620</sub> of the substrate-grain free supernatants. All samples are measured in duplicates. Sample and standard values are corrected for absorbance of the relevant blank. The activity value is calculated as Sample activity/ Standard activity.

Enzyme	AZCL substrate	Optimal buffer	Standard (purified native enzyme)
Cellulase I	HE-Cellulose	0.1 M Tris citrate/phos. pH 5.5	1.72 µg/µl diluted 1200 times
Cellulase II	HE-Cellulose	0.1 M Tris pH 7.5	1.5 µg/µl diluted 200 times
Galactanase I	Arabinogalactan	0.1 M Tris citrate/phos. pH 4.5	2 µg/µl diluted 6000 times
Xylanase I	Birch-xylan	0.1 M Tris pH 7.0	1.47 µg/µl diluted 30.000 times.

#### 10 Polygalacturonase I (PG I) and Lipase activity :

The enzyme activity of supernatants from both PG I and lipase cultures (chapter 5) was measured on substrate-containing plates. 20 µl supernatant from each sample was loaded in wells and the area of the clearing zone was related to the clearing zones of a titration of a known amount of the respective native enzymes.

**PG I:** 1.0 g agarose is added 100 ml 0.1 M citrate/phosphate buffer pH 4.5. The suspension is heated to the

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boiling point and 1g Obipectin (DE 35 %, NN Switzerland) prewetted in 96 % EtOH is added prior discharge of 25 ml aliquots on plates. 20  $\mu$ l supernatant or standard is added in wells and incubated 24 hours at 30°C. 1 % MTAB (mixed alkyl-  
5 trimethylammoniumbromide, Sigma®) is poured over the plates and incubates at RT until the clearing zones are detectable.

**Lipase:** 2.0 g agarose is added 10 ml 1M Tris pH 9, 5 ml 2M CaCl<sub>2</sub> and 85 ml H<sub>2</sub>O. The suspension is heated to the boiling point and a mixture of 0.5 ml Olive oil and 1 ml Triton X-100 is  
10 added prior discharge of 25 ml aliquots on plates. 20  $\mu$ l supernatant or standard is added in wells and incubated 24 hours at 30°C.

#### Western blotting

15 SDS -PAGE electrophoresis, western blotting and immunostaining. 25 ml supernatant from the respective maximum activity per volume samples was loaded in each lane.

#### Hybridization

20 Suitable hybridization conditions for determining hybridization between an nucleotide probe and an "analogous" DNA sequence of the invention may be defined as described below. The oligonucleotide probe to be used is the DNA sequence from position -241 to -41 in SEQ ID NO 1 or from -163 to -3 in SEQ ID NO 2. The  
25 oligonucleotide probe used herein is preferably a double-stranded DNA probe.

#### Hybridization conditions:

Suitable conditions for determining hybridization between a  
30 nucleotide probe and a homologous DNA or RNA sequence involves presoaking of the filter containing the DNA fragments or RNA to hybridize in 5 x SSC (standard saline citrate) for 10 min, and prehybridization of the filter in a solution of 5 x SSC (Sambrook et al. 1989), 5 x Denhardt's solution (Sambrook et al.  
35 1989), 0.5 % SDS and 100  $\mu$ g/ml of denatured sonicated salmon sperm DNA (Sambrook et al. 1989), followed by hybridization in the same solution containing a concentration of 10ng/ml of a

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random-primed (Feinberg, A. P. and Vogelstein, B. (1983) *Anal. Biochem.* 132:6-13),  $^{32}\text{P}$ -dCTP-labeled (specific activity  $> 1 \times 10^9$  cpm/ $\mu\text{g}$ ) probe for 12 hours at ca. 45°C. The filter is then washed two times for 30 minutes in 2 x SSC, 0.5 % SDS at preferably not higher than 55°C, more preferably not higher than 60°C, more preferably not higher than 65°C, even more preferably not higher than 70°C, especially not higher than 75°C.

Molecules to which the oligonucleotide probe hybridizes under these conditions are detected using a x-ray film.

#### **Media:**

##### **E.coli media:**

For selective growth of transformants:

**LB medium** (Luria-Bertani): 1 % Bacto tryptone, 0.5 % Bacto yeast extract, 0.5 % NaCl + relevant antibiotics as described. Growth plates are made with 2 % Bactoagar.

For growth of electro-transformed cells for 1 hour, prior plating on selective medium:

**SOC medium:** 2 % Bacto tryptone, 0.5 % Bacto yeast extract, 10mM NaCl, 2.5 mM KCl, 10 mM  $\text{MgCl}_2$ , 10 mM  $\text{MgSO}_4$ , 20 mM glucose.

##### **Yeast media:**

Selective medium for *Yarrowia lipolytica* transformants:

##### **Syntetic Complete medium ÷ Leucine**

1 liter medium:

100 ml 10X Basal salt

100 ml 10X glucose (20 %)

100 ml 10X Amino acids ÷ leucine + vitamin

Add  $\text{H}_2\text{O}$  to 1000 ml. Sterile filtrate.

Growth plates are made with 1.5 % agarose. Autoclave the agarose in  $\text{H}_2\text{O}$  then add the remainder.



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Amino acid stock solutions:  
(SIGMA Cell Culture Reagent®)

Constituent	Final mg/l	Constituent	Final mg/l
Adenine sulphate	20	Biotin (vitamin H)	0.05
Uracil	20	Thiamine HCl	5
		(vitamin B)	
Tryptophan	20	myo-INOSITOL	47
Histidine	20	Pyridoxine Hcl	1.2
		(vitamin B6)	
Arginine	20	Pantothenic acid	23
Methionine	20		
Tyrosine	30		
Isoleucine	30		
Lysine	30		
Phenylalanine	50		
Glutamic acid	100		
Aspartic acid	100		
Valine	150		
Threonine	200		
Serine	400		

5

10X Basal salt:

1 liter;

66.8 g Yeast Nitrogen Base w/o amino acids (Difco), 100 g Succinic acid, 60 g NaOH. Add H<sub>2</sub>O to 1 liter and sterile

10 filtrate.

Inducing medium for XPR2 based *Yarrowia lipolytica* transformants:

15 XPR2 optimal medium (a modification of the YPDm media (Nicaud, J.M. et al 1989)):

0.1 % glucose

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0.2 % (w/v) Yeast Extract

10 % (w/v) Proteose Peptone (DIFCO)

Bring Yeast Extract and Proteose Peptone to solution in 50 mM NaHPO<sub>4</sub> pH 6.8. Autoclave and add the glucose. For growth plates  
5 add 1.5 % agar before autoclavation.

**YP medium** (per l): 20 g Bacto peptone, 10 g Bacto yeast extract.

### EXAMPLES

#### 10 **Example 1:**

##### **Construction of cDNA libraries.**

A cDNA library can be considered as an image of the transcriptional activity in the cell at the growth conditions present. The aim was to identify strong yeast promoters that were active at  
15 conditions suitable for use in expression cloning. Therefore the ability of strain P01d to assimilate various carbohydrate sources was examined prior to the construction of cDNA libraries (figure 3). Assimilation of carbon compounds in terms of + or , has been examined for some of the first *Y.lipolytica* strains isolated  
20 (reviewed by Lodder, J. 1970) and a slight variation among the strains was observed. In the present growth experiment carbohydrates of both categories were tested.

The growth experiment (figure 3) clearly demonstrated that strain P01d is capable of utilizing glucose and glycerol as carbo-  
25 hydrate sources. The indication of weak assimilation of maltose is in agreement with the observations by Lodder. In the attempt to identify not only strong but also inducible yeast promoters, it was decided to construct cDNA libraries from both YP -glucose and -glycerol cultures. The idea was that if the presence of glucose  
30 or glycerol caused distinct patterns of induction or repression of yeast promoters (e.g. a glucose repression effect) this would appear in a comparison of sequences from the two libraries.

#### **EXAMPLE 2:**

##### 35 **Analysis of cDNA libraries.**

An initial sequence determination was performed on 100 clones from each cDNA library in which 300 - 600 nucleotides of the 5' end of the inserts were determined. The sequence data from each library

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were aligned internally and to each other. In the following the cDNA library from the YP-glucose culture is referred to as L1 and the library from the YP-glycerol culture as L2.

7 different sequences from L1 were represented twice and  
5 two different sequences were represented as triplets. It turned out that one of the pairs came from identical clones which is possible due to the growth of the transformed cells for 1 hour in liquid medium prior transfer to growth plates. 14 different sequences from L2 were represented twice, of which two pairs came  
10 from identical clones. Alignment of L1 and L2 showed that several sequences from one library also were represented in the other. Four sequences of the 200 clones examined were chosen for further examination (figure 4): One representing the sequence observed most frequently (A), one representing the most frequently sequence  
15 observed only in L1 (B), one representing the second most observed (C), and one representing a sequence observed twice in L2 and not in L1 (D).

#### **EXAMPLE 3:**

##### **20 Comparative measurement of transcription frequency.**

The detection of a sequence in two or three copies in only one of the cDNA libraries could indicate that different yeast promoter activity was present in the YP -glucose and -glycerol media. To test this, a Northern blot analysis was performed. PCR copies of  
25 the selected cDNA sequences were hybridized to poly(A)+RNA from the YP -glucose and -glycerol cultures respectively. If the frequency of the different cDNA sequences reflects the quantity of the corresponding transcripts, unequal intensity of signals could be expected when probes based on the L1.45 or L2.17 sequences were  
30 used.

The intensity of the signals does not differ in any case, independently of the origin of the poly(A)+RNA, this was not observed at shorter exposure either. These data indicates that no significant repression of transcription has taken place concerning  
35 the sequences examined, either in the presence of glucose or glycerol in the medium.

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**EXAMPLE 4:****Copy number analysis.**

A high frequency of a specific sequence reflects the presence of a strong yeast promoter. This is of course quite a simplification. A high frequency could also be caused by a high mRNA stability or a high copy number of the gene. If all these copies were actively transcribed, the yeast promoter strength in terms of sequence frequency would be correspondingly low. In order to examine for copy number on the genome of the selected genes a Southern blot analysis was carried out.

It appears, that the probes based on the L1.41 (A), L1.45 (B) or L2.17 (D) sequences, hybridize at one or two areas on the membrane, depending on the enzymes used. Sequence determination of the entire cloned cDNA of L1.41 and L2.17 (see later) showed that in the case of L1.41, both a *Hind*III site and a *Kpn*I site was present in the structural gene, and in case of L2.17 a *Kpn*I site was present. A PCR copy of the L1.45 sequence was digested with the enzymes employed in the Southern analysis. Electrophoresis on agarose gel showed that an internal *Kpn*I site was present (data not shown). This strongly indicate that the P01d genome contains only one copy of both L1.41, L1.45 and L2.17.

The L2.7 based probe hybridize at several distinct areas of the membrane. Digest of a PCR copy of the L2.7 sequence with the employed enzymes did not reveal an internal presence of these sites (data not shown). This show that the L2.7 sequence is present in several copies in the P01d genome. For this reason no attempt was made to identify and test the yeast promoter matching the L2.7 sequence.

30

**EXAMPLE 5:****Identification of putative yeast promoter sequences by colony hybridization.**

To identify the yeast promoters matching the strongly expressed transcripts, a P01d genomic library was established. 1 - 2 Kb *Sau*3A I digested P01d fragments were cloned in *Bam*HI digested pSJ1678 (figure 2). 45.000 transformants were obtained corresponding to a 95 % probability of an arbitrary sequence is

35

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represented. Prior coloni hybridization, PCR copies of L1.41, L1.45 and L2.17 were digested with *Sau3A* I. Analysis on agarose gel showed a significant reduction in fragment size (data not shown), due to the presence of internal *Sau3A* I sites. In order to  
5 increase the probability of identifying sequences located upstream the ATG start codon of the selected genes, 400 bp PCR copies of the 5' end of the selected cDNA sequences were used as probes.

Colony hybridization resulted in one positive for each probe used. Unfortunately, the positive corresponding to L1.45 was  
10 lost during the process of isolating the positives. *HindIII* digest showed that the clones responding to the L1.41 and L2.17 based probes contained inserts of approximately 1000 and 1500 bp, respectively. Sequence determination of the positive inserts was not possible when present in the pSJ1678 background and hence the  
15 insert were recloned in pUC19 prior to sequencing.

**EXAMPLE 6:****Sequence determination of the L1.41 related genome and cDNA sequence.**

20 The 915 bp L1.41 related genomic DNA was sequenced at both strands as illustrated in figure 5.

Alignment of the L1.41 related genomic DNA with the corresponding cDNA revealed a 549 bp overlap between 3' genomic DNA and 5' cDNA, corresponding to a 366 bp sequence in the genomic  
25 DNA located upstream the cDNA sequence (figure 6.) The sequence in figure 6 is the same as shown in SEQ ID NO 1.

The nucleotide sequence and the deduced amino acid sequence of the L1.41 cDNA is presented in figure 7. The sequence in figure 6 is the same as shown in SEQ ID NO 3.

30 The 1500 bp cDNA clone contains a 1380 bp open reading frame initiated with an ATG codon 41 bp downstream the 5' terminal nucleotide, and terminated with a TAA stop codon 1421 bp downstream the 5' terminal nucleotide, thus predicting a 460-residue polypeptide of 50065 Da. The open reading frame is preceded by a  
35 40 bp 5' -noncoding region and followed by a 60 bp 3' -noncoding region and a poly(A) tail.

An initial FastA search (Pearson and Lipman, 1988) on the GenEMBL database showed significant similarity of the L1.41 cDNA

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sequence to the translation elongation factor EF-1a gene (*TEF*) of various sources, e.g. *Arxula adeninivorans*, *Neurospora crassa* and *Saccharomyces cerevisiae* (see appendix II).

GAP alignments (Needleman and Wunsch, 1970) were made with  
5 the complete L1.41 cDNA sequence aligned to the *TEF* gene sequences of yeasts *A. adeninivorans* and *S. cerevisiae*. 83.8 and 76.4 percent similarity was observed, respectively.

At the amino acid level a BLASTX search (Altschul et al, 1990), on the swissprot database, showed as much as 91 percent  
10 identity to the elongation factor 1a of *A. gossypii*. To the corresponding genes of *C. albicans*, *A. adeninivorans* and *S. cerevisiae* 90, 90 and 89 percent identity was observed, respectively.

The elongation factor 1a plays an essential role in  
15 protein synthesis in eukaryotic cells by binding the amino-acyl t-RNA to the ribosomes in exchange for the hydrolysis of GTP.

#### EXAMPLE 7:

##### 20 **Sequence determination of the L2.17 related genome and cDNA sequence.**

The 1435 bp L2.17 related genomic DNA was sequenced at both strands.

The relevant part of the nucleotide sequence of the L2.17  
25 genomic DNA is shown in figure 8. The sequence in figure 8 is the same as shown in SEQ ID NO 2.

Alignment of the L2.17 related genomic DNA with the corresponding cDNA showed that 759 bp of the genomic sequence was located upstream the 5' end of the cDNA sequence. Further, the  
30 alignment strongly indicated the presence of an intron of 165 nucleotides: A 16 bp sequence in the genomic DNA (position ,2 - +14), including the putative ATG start codon, matched perfectly with a sequence located in the 5' end of the cDNA sequence. There was no homology between the genomic DNA and the cDNA in the  
35 intervening sequence.

The 16 bp genomic sequence was followed by a 5' splice site consensus sequence - GTGAGT (figure 8) at position 15 - 20. A 3' splice site consensus sequence - CAG (position 177 - 179) - was

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present in the 3' end of the 165 nucleotide intron, and an internal consensus sequence for lariat formation - TA<sub>3</sub>CTAAC (position 165 - 173) was present just upstream the 3' consensus sequence. These intron processing signals are very similar to those that define introns in other organisms and the signals present in the intron of the pyruvate kinase-encoding gene (PYK) of *Y.lipolytica* (table II).

**Table II.** Consensus intron processing signals from several species and the signals of the *Y.lipolytica* PYK gene intron.\*

	5' splice site	Internal conserved sequence	3' splice site
Higher eukaryotes	GGT A/G AGT	CT A/G A T/C	TAG
<i>S.pombe</i>	GT	GGTA - CT A/G A T/C	T/A AG
<i>N.crassa</i>	GT	- GTA- T - CTAAC	T/C AG
<i>S.cerevisiae</i>	GGTATGT	TACTAAC	T/C AG
<i>Y.lipolytica</i> PYK intron	GGTGAGT	TACTAAC	CAG
<i>Y.lipolytica</i> L2.17 intron	GTGAGT	TA <sub>3</sub> CTAAC	CAG

\**Y.lipolytica* L2.17 splice sites and signals shown along with the corresponding consensus signals from other organisms and the *Y.lipolytica* PYK gene. Consensus sequences of higher eukaryotes, *S.pombe*, *N.crassa*, *S.cerevisiae* (Hindley and Phear, 1984; Kaufer et al, 1985). *Y.lipolytica* PYK gene (Strick et al, 1992).

As described earlier, it can be quite difficult to predict the transcription initiation site on the basis of consensus

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sequence data alone. In case of the *TEF* gene yeast promoter sequence, the presence of a CT rich sequence pointed at a single probable site. In case of the L2.17 genomic sequence, several initiation sites seems possible:

- 5 Four initiation consensus sequences are located between the putative TATA boxes (position ,201 - ,190) and the ATG start codon (see figure 8).

The fact that the cDNA sequences represented by L2.17 (L2.17 and L2.32 figure 4) both have their 5' end very close to the ATG  
10 start codon could indicate that they represent almost full length cDNA clones. This assumption is further supported by the presence of two transcription initiation consensus sequences just upstream the 5' end of the cDNA sequence.

The assumption of a transcriptional start site just  
15 upstream the ATG start codon disagree with the observation of an average leader sequence length in yeast of 52 bp (reviewed by Yoon and Donahue 1992). Further, this position of the transcription initiation sites is far out of the range of the transcription initiation window (40-120 bp downstream the ,201 - ,190 TATA bo-  
20 xes).

The existence of several possible transcription initiation sites was examined further in a yeast promoter deletion analysis (see below).

The L2.17 cDNA was sequenced at both strands. The nucleotide  
25 sequence and the deduced amino acid sequence of the L2.17 cDNA is presented in figure 9. The sequence in figure 9 is the same as shown in SEQ ID NO 4.

The 853 bp cDNA clone contains a 780 bp open reading frame initiated with an ATG codon 3 bp downstream the 5' terminal  
30 nucleotide, and is terminated with a TAA stop codon 783 bp downstream the 5' terminal nucleotide, thereby predicting a 260-residue polypeptide of 29193 Da. The open reading frame is preceded by a 2 bp 5' -noncoding region and followed by a 49 bp 3' -noncoding region and a poly(A) tail.

35 A FastA search (Pearson and Lipman, 1988) on the GenEMBL database showed similarity of the L2.17 cDNA sequence to the ribosomal protein S7 of *S. cerevisiae* and the corresponding ribosomal protein S4 of e.g. *D. melanogaster* and *H. sapiens*.



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GAP alignments (Needleman and Wunch, 1970) showed 69.2 percent similarity to a ribosomal protein S4 cDNA sequence of *D. melanogaster* and 68.5 % similarity to exon 1 and exon 2 of the *S. cerevisiae* ribosomal protein S7.

5 At the amino acid level a BLASTX search (Altschul et al, 1990), on the swissprot database, showed 82 percent identity to the ribosomal protein S7 of *S. cerevisiae*, 74 percent identity to the ribosomal protein S4 of *D. melanogaster* and 72 percent identity to the ribosomal protein S4 of *M. musculus* and *H.*  
10 *sapiens*.

The ribosomal protein S7 is the largest protein of the 40 S subunit and is essential for growth (Synetos et al 1992).

#### EXAMPLE 8:

##### 15 **Strategy for deletion analyses of the cloned yeast promoters.**

A detailed analysis of the function of a yeast promoter involves sequence deletion studies as well as DNA/protein and protein/protein interaction analyses.

##### 20 Elongation factor 1<sub>a</sub> (TEF) yeast promoter deletions:

The strategy used for deletion studies of the *TEF* gene yeast promoter sequence (SEQ ID No 1) is shown in figure 10. The 3' terminal nucleotide of the yeast promoter sequence was defined to be equal, to the last nucleotide in the 5' part of the genomic  
25 sequence that was not represented in the cDNA sequence. This definition is in agreement with the position of the putative transcription initiation site, except for the presence of additional 12 bp located downstream the putative transcription initiation site. All editions of the *TEF* gene yeast promoter  
30 sequence were cloned as *Cla*I/*Bam*HI fragments in pY5 expression vectors carrying cellulase II or xylanase I as reporter genes (see table I. and figure 2). The yeast promoter sequences were cloned in the expression vectors as PCR copies, in which the 5' *Cla*I site and the 3' *Bam*HI sites were introduced by the PCR primers.

35 The deletion study shown that the DNA sequence from position -241 to position -41 in SEQ ID No 1 comprise the essential element for yeast promoter activity.

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Ribosomal protein S7 yeast promoter deletions:

The planned strategy for deletion analysis of the Ribosomal protein S7 yeast promoter sequence (SEQ ID No 2) is shown in figure 11. Due to the problems with identification of a single probable transcription initiation site, 5' deleted editions with two different 3' ends (position ,3 and ,109) were introduced to the expression vectors. All editions of the ribosomal protein S7 yeast promoter sequence were cloned as *Cla*I/*Bam*HI fragments in py5 expression vectors carrying cellulase II or xylanase I as reporter genes(see table I. and figure 2). Editions affected by the internal *Cla*I site were prepared by partial *Cla*I digest as described earlier. Succesful cloning was only obtained for B, D and F (figure 11). The yeast promoter sequences were cloned in the expression vectors as PCR copies, in which the 5' *Cla*I site and the 3' *Bam*HI sites were introduced by the PCR primers.

The deletion study shown that the DNA sequence from position -163 to position -3 in SEQ ID No 2 comprise the essential element for yeast promoter activity.

**EXAMPLE 9:****Comparative yeast promoter activity studies.**

The expression vectors based on the yeast promoter sequences of the invention were tested with regard to their suitability as expression cloning tools. The activity level of the yeast promoters was examined both when P01d transformants were grown on selective substrate-containing plates, and when transformants were grown in selective liquid medium. Finally the test gene products were examined in a Western blot analysis. As a consequence of the Northern blot analysis results, transformants containing either of the new yeast promoters were grown on/in media in which glucose was used as the carbohydrate source.

Activity on growth plates.

The activity level of the yeast promoters of the invention was initially tested qualitatively, by growth of P01d transformants on selective substrate-containing plates (figure 12. A and B). Only transformants that include the "full length"

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editions of the cloned yeast promoters are shown (*TEF* gene yeast promoter = pY5TA43kD and pY5TAX1, Ribosomal protein S7 yeast promoter = pY5RB43kD and pY5RBX1). P01d transformed with the corresponding expression vectors based on the *XPR2* yeast promoter, 5 grown on *XPR2* optimal medium substrate-containing plates, are shown in figure 12. C and D. The experiment can be considered as an imitation of a screening event.

The growth plate activity experiment show that the new yeast promoters are very effective as screening tools in case of 10 the tested reporter genes. Even in the HE-cellulose substrate assay (which is known to be less sensitive than the xylan substrate based assay) a significant degradation is seen, contrary to the *XPR2* yeast promoter based HE-cellulose substrate degradation. As seen, neither of the enzymes were expressed at a 15 detectable level when present in the control vector constructs.

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## SEQUENCE LISTING

SEQ ID NO 1 shows the DNA sequence of the isolated DNA sequence encoding yeast promoter activity, and having homology to the EF1-alpha promotor. This sequence is identical to the L1.41 genomic DNA. See figure 6 for further details.

## (2) INFORMATION FOR SEQ ID NO: 1:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 409 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (vi) ORIGINAL SOURCE:

- (A) ORGANISM: *Yarrowia lipolytica* EF1-alpha yeast promoter
- (B) STRAIN: *Yarrowia lipolytica*

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

20	AGAGACCGGG TTGGCGGCGT ATTTGTGTCC CAAAAACAG CCCCAATTGC CCCAATTGAC	-60
	CCCAAATTGA CCCAGTAGCG GGCCCAACCC CGGCGAGAGC CCCCTTCACC CCACATATCA	-120
	AACCTCCCCC GGTTCCACACA CTTGCCGTTA AGGGCGTAGG GTACTGCAGT CTGGAATCTA	-180
25	CGCTTGTTCA GACTTTGTAC TAGTTTCTTT GTCTGGCCAT CCGGGTAACC CATGCCGGAC	-240
	GCAAATAGA CTACTGAAAA TTTTTTGTCT TTGTGGTTGG GACTTTAGCC AAGGGTATAA	-300
30	AAGACCACCG TCCCCGAATT ACCTTTCCTC TTCTTTTCTC TCTCTCCTTG TCAACTCACA	-360
	CCCGAAATCG TTAAGCATTT CCTTCTGAGT ATAAGAATCA TTCAAAATG	3

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SEQ ID NO 2 shows the DNA sequence of the isolated DNA sequence encoding yeast promoter activity, and having homology to the Ribosomal S7 gene yeast promoter. This sequence is identical to the L2.17 genomic DNA. See figure 8 for further details.

## (2) INFORMATION FOR SEQ ID NO: 2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 952 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (vi) ORIGINAL SOURCE:

- (A) ORGANISM: *Yarrowia lipolytica* Ribosomal S7 gene  
 yeast promoter  
 (B) STRAIN: *Yarrowia lipolytica*

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

20	TCGACGGATC CACTTGTATG GCTCCAAGTT CAGTGTACCA AGTAGTTGGT GATGCAGGGA	-60
	GGGATGTCTC TATCCACCAA TAATGAACTC ATGGGCGAAA TTGTTTCTGT TAAACACTCC	-120
	AACTGTCGTT TTAAATCTCA TTCTCTTTGC ATTTGGACTC CATTGCTTC CGTTGGGCCA	-180
25	TATAATCCAT CGTAACGTAC TTTAGATGGA AATTTAGTTA CCTGCTACTT GTCTCAACAC	-240
	CCCAACAGGG GCTGTTTCGAC AGAGGTAATA GAGCGTCAAT GGGTTAATAA AAACACACTG	-300
30	TCGATTTTCA CTCATTGTCT TTATGATATT ACCTGTTTTT CGCTGTTATC AATGCCGAGC	-360
	ATCGTGTTAT ATCTTCCACC CCAACTACTT GCATTTACTT AACTATTACC TCAACTATTT	-420
	ACACCCCGAA TTGTTACCTC CCAATAAGTA ACTTTATTTT AACCAATGGG ACGAGAGCAT	-480
35	CTCTGAGAAC ATCGATCTAT CTCTGTCAAT ATTGCCCGA ATCGTTGAA AAAAAACACC	-540
	AAAAGGTTTA CAGCGCCATT ATAAATATAA ATTCGTTGTC AATTCCCCCG CAATGTCTGT	-600
40	TGAAATCTCA TTTTGAGACC TTCCAACATT ACCCTCTCTC CCGTCTGGTC ACATGACGTG	-660
	ACTGCTTCTT CCCAAAACGA AACTCCCAA CTCTTCCCCC CCGTCAGTGA AAAGTATACA	-720
	TCCGACCTCC AAATCTTTTC TTTACTCAAC AAACACAAA ATGGCCCGAG GACCGTGAGT	20
45	ATCCCCCACC CCCCAGTCAG ATGAGGCACA GACCAGGCTA GCCCATCGCT TTTAGAAGAA	80
	GGATAAGGGC TGTTCTGGGT GTGTCAAGAG GAGATGATGA CGAGAAGCAA AGAGCTTCGA	140
	CTCAGTCGCC TCTGCCCCCA CGAACTAAAC TAACGCCAGC AAGAAGCATC TC	192



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SEQ ID NO 3 shows the DNA sequence and the deduced amino acid sequence of the translation factor EF-1alpha cDNA from *Y. lipolytica*. This is the sequence corresponding (downstream) of the EF-1alpha yeast promoter shown in SEQ ID NO 1. See figure 7 for further details.

## (2) INFORMATION FOR SEQ ID NO: 3:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1500 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (vi) ORIGINAL SOURCE:

- (A) ORGANISM: EF-1alpha  
(B) STRAIN: *Yarrowia lipolytica*

## (ix) FEATURE:

- (A) NAME/KEY: CDS  
(B) LOCATION: 41..1420

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

55	ATCGTTAAGC ATTCCTTCT GAGTATAAGA ATCATTCAAA	ATG GGA AAG GAA AAG	55
		Met Gly Lys Glu Lys	
		1 5	
25	ACT CAC GTT AAC CTC GTT GTC ATC GGT CAC GTC GAT GCC GGT AAG TCC		103
	Thr His Val Asn Leu Val Val Ile Gly His Val Asp Ala Gly Lys Ser		
	10 15 20		
30	ACC ACC ACT GGT CAC CTT ATC TAC AAG TGC GGT GGT ATC GAT AAG CGA		151
	Thr Thr Thr Gly His Leu Ile Tyr Lys Cys Gly Gly Ile Asp Lys Arg		
	25 30 35		
35	ACC ATC GAG AAG TTC GAG AAG GAG GCC GAC GAG CTT GGA AAG GGT TCT		199
	Thr Ile Glu Lys Phe Glu Lys Glu Ala Asp Glu Leu Gly Lys Gly Ser		
	40 45 50		
40	TTC AAG TAC GCT TGG GTT CTT GAC AAG CTT AAG GCT GAG CGA GAG CGA		247
	Phe Lys Tyr Ala Trp Val Leu Asp Lys Leu Lys Ala Glu Arg Glu Arg		
	55 60 65		
45	GGT ATC ACC ATT GAT ATT GCT CTC TGG AAG TTC CAG ACC CCT AAG TAC		295
	Gly Ile Thr Ile Asp Ile Ala Leu Trp Lys Phe Gln Thr Pro Lys Tyr		
	70 75 80 85		
50	TAC GTC ACC GTT ATT GAT GCT CCC GGT CAC CGA GAT TTC ATC AAG AAC		343
	Tyr Val Thr Val Ile Asp Ala Pro Gly His Arg Asp Phe Ile Lys Asn		
	90 95 100		
55	ATG ATC ACC GGT ACC TCC CAG GCC GAC TGT GCC ATC CTC ATC ATT GCT		391
	Met Ile Thr Gly Thr Ser Gln Ala Asp Cys Ala Ile Leu Ile Ile Ala		
	105 110 115		
55	GGT GGT GTT GGT GAG TTC GAG GCT GGT ATC TCC AAG GAC GGT CAG ACC		439
	Gly Gly Val Gly Glu Phe Glu Ala Gly Ile Ser Lys Asp Gly Gln Thr		
	120 125 130		
60	CGA GAG CAC GCT CTG CTC GCT TTC ACC CTC GGT GTC AAG CAG CTG ATT		487
	Arg Glu His Ala Leu Leu Ala Phe Thr Leu Gly Val Lys Gln Leu Ile		
	135 140 145		

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	GTT	GCC	ATC	AAC	AAG	ATG	GAC	TCC	GTC	AAG	TGG	TCT	CAG	GAT	CGA	TAC	535
	Val	Ala	Ile	Asn	Lys	Met	Asp	Ser	Val	Lys	Trp	Ser	Gln	Asp	Arg	Tyr	
	150					155					160					165	
5	AAC	GAG	ATC	TGC	AAG	GAG	ACC	GCC	AAC	TTC	GTC	AAG	AAG	GTT	GGT	TAC	583
	Asn	Glu	Ile	Cys	Lys	Glu	Thr	Ala	Asn	Phe	Val	Lys	Lys	Val	Gly	Tyr	
					170					175					180		
10	AAC	CCT	AAG	TCT	GTC	CCC	TTT	GTC	CCT	ATT	TCC	GGA	TGG	AAC	GGT	GAC	631
	Asn	Pro	Lys	Ser	Val	Pro	Phe	Val	Pro	Ile	Ser	Gly	Trp	Asn	Gly	Asp	
				185					190					195			
15	AAC	ATG	ATT	GAG	GCC	TCC	ACC	AAC	TGT	GAC	TGG	TAC	AAG	GGC	TGG	ACC	679
	Asn	Met	Ile	Glu	Ala	Ser	Thr	Asn	Cys	Asp	Trp	Tyr	Lys	Gly	Trp	Thr	
			200					205					210				
	AAG	GAG	ACC	AAG	GCC	GGT	GAG	GTC	AAG	GGT	AAG	ACC	CTC	CTT	GAG	GCC	727
	Lys	Glu	Thr	Lys	Ala	Gly	Glu	Val	Lys	Gly	Lys	Thr	Leu	Leu	Glu	Ala	
	215					220						225					
20	ATT	GAC	GCC	ATT	GAG	CCC	CCC	GTG	CGA	CCC	TCC	GAC	AAG	CCC	CTC	CGA	775
	Ile	Asp	Ala	Ile	Glu	Pro	Pro	Val	Arg	Pro	Ser	Asp	Lys	Pro	Leu	Arg	
	230					235					240					245	
25	CTT	CCT	CTC	CAG	GAT	GTC	TAC	AAG	ATC	GGT	GGT	ATC	GGC	ACA	GTG	CCC	823
	Leu	Pro	Leu	Gln	Asp	Val	Tyr	Lys	Ile	Gly	Gly	Ile	Gly	Thr	Val	Pro	
					250					255					260		
30	GTT	GGC	CGA	GTC	GAG	ACC	GGT	GTT	ATC	AAG	GCC	GGT	ATG	GTT	GTT	ACC	871
	Val	Gly	Arg	Val	Glu	Thr	Gly	Val	Ile	Lys	Ala	Gly	Met	Val	Val	Thr	
				265					270					275			
35	TTC	GCT	CCC	GCC	AAC	GTG	ACC	ACT	GAG	GTC	AAG	TCT	GTC	GAG	ATG	CAC	919
	Phe	Ala	Pro	Ala	Asn	Val	Thr	Thr	Glu	Val	Lys	Ser	Val	Glu	Met	His	
			280					285					290				
	CAC	GAG	ATC	CTC	CCC	GAC	GGA	GGT	TTC	CCC	GGT	GAC	AAC	GTT	GGC	TTC	967
	His	Glu	Ile	Leu	Pro	Asp	Gly	Gly	Phe	Pro	Gly	Asp	Asn	Val	Gly	Phe	
	295					300						305					
40	AAC	GTC	AAG	AAC	GTT	TCC	GTC	AAG	GAT	ATC	CGA	CGA	GGT	AAC	GTT	GCC	1015
	Asn	Val	Lys	Asn	Val	Ser	Val	Lys	Asp	Ile	Arg	Arg	Gly	Asn	Val	Ala	
	310					315					320					325	
45	GGT	GAC	TCC	AAG	AAC	GAC	CCC	CCT	AAT	GGC	TGC	GAC	TCT	TTC	AAC	GCT	1063
	Gly	Asp	Ser	Lys	Asn	Asp	Pro	Pro	Asn	Gly	Cys	Asp	Ser	Phe	Asn	Ala	
					330					335					340		
50	CAG	GTC	ATT	GTT	CTT	AAC	CAC	CCC	GGT	CAG	ATC	GGT	GCT	GGT	TAC	GCT	1111
	Gln	Val	Ile	Val	Leu	Asn	His	Pro	Gly	Gln	Ile	Gly	Ala	Gly	Tyr	Ala	
				345					350					355			
55	CCC	GTT	CTT	GAT	TGC	CAC	ACT	GCC	CAC	ATT	GCC	TGC	AAG	TTC	GAC	ACC	1159
	Pro	Val	Leu	Asp	Cys	His	Thr	Ala	His	Ile	Ala	Cys	Lys	Phe	Asp	Thr	
			360					365					370				
	CTG	ATC	GAG	AAG	ATC	GAC	CGA	CGA	ACC	GGT	AAG	AAG	ATG	GAG	GAC	TCC	1207
	Leu	Ile	Glu	Lys	Ile	Asp	Arg	Arg	Thr	Gly	Lys	Lys	Met	Glu	Asp	Ser	
	375					380						385					
60	CCC	AAG	TTC	ATC	AAG	TCT	GGT	GAT	GCC	GCC	ATT	GTC	AAG	ATG	GTC	CCC	1255
	Pro	Lys	Phe	Ile	Lys	Ser	Gly	Asp	Ala	Ala	Ile	Val	Lys	Met	Val	Pro	
	390					395					400					405	
65	TCC	AAG	CCC	ATG	TGT	GTT	GAG	GCC	TTC	ACT	GAG	TAC	CCC	CCT	CTT	GGT	1303
	Ser	Lys	Pro	Met	Cys	Val	Glu	Ala	Phe	Thr	Glu	Tyr	Pro	Pro	Leu	Gly	
					410					415						420	

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[illegible]

-50-

SEQ ID NO 4 shows the DNA sequence and the deduced amino acid sequence of the ribosomal protein s7 cDNA from *Y. lipolytica*. This is the sequence corresponding (downstream) of the ribosomal protein s7 yeast promoter shown in SEQ ID NO 2. See figure 9 for further details.

## (2) INFORMATION FOR SEQ ID NO: 4

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 853 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (vi) ORIGINAL SOURCE:

(A) ORGANISM: Ribosomal protein S7

(B) STRAIN: *Yarrowia lipolytica*

## (ix) FEATURE:

(A) NAME/KEY: CDS

(B) LOCATION:3..782

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4

AA	ATG	GCC	CGA	GGA	CCC	AAG	AAG	CAT	CTC	AAG	CGA	CTC	GCA	GCT	CCC	47	
	Met	Ala	Arg	Gly	Pro	Lys	Lys	His	Leu	Lys	Arg	Leu	Ala	Ala	Pro		
					465					470					475		
25	TCC	CAC	TGG	ATG	CTG	GAC	AAG	CTG	TCC	GGC	ACC	TAC	GCT	CCC	CGA	TCG	95
	Ser	His	Trp	Met	Leu	Asp	Lys	Leu	Ser	Gly	Thr	Tyr	Ala	Pro	Arg	Ser	
					480					485					490		
30	TCT	GCC	GGT	CCC	CAC	AAG	CTG	CGA	GAG	TCT	CTG	CCT	CTC	GTC	ATC	TTC	143
	Ser	Ala	Gly	Pro	His	Lys	Leu	Arg	Glu	Ser	Leu	Pro	Leu	Val	Ile	Phe	
					495				500					505			
35	CTG	CGA	AAC	CGT	CTC	AAG	TAC	GCC	CTG	AAC	GGC	CGA	GAG	GTT	AAC	GCC	191
	Leu	Arg	Asn	Arg	Leu	Lys	Tyr	Ala	Leu	Asn	Gly	Arg	Glu	Val	Asn	Ala	
			510					515					520				
40	ATT	CTC	ATG	CAG	CGA	CTG	GTC	AAG	GTC	GAC	GGC	AAG	GTC	CGA	ACC	GAC	239
	Ile	Leu	Met	Gln	Arg	Leu	Val	Lys	Val	Asp	Gly	Lys	Val	Arg	Thr	Asp	
		525				530					535						
45	TCC	ACT	TTC	CCC	GCT	GGC	TTC	ATG	GAT	GTC	ATC	CAG	CTC	GAG	AAG	ACC	287
	Ser	Thr	Phe	Pro	Ala	Gly	Phe	Met	Asp	Val	Ile	Gln	Leu	Glu	Lys	Thr	
		540				545				550						555	
50	GGC	GAG	AAC	TTC	CGA	CTT	GTC	TAC	GAC	GTC	AAG	GGC	CGA	TTT	GCC	GTC	335
	Gly	Glu	Asn	Phe	Arg	Leu	Val	Tyr	Asp	Val	Lys	Gly	Arg	Phe	Ala	Val	
					560					565					570		
55	CAC	CGA	ATC	ACC	GAT	GAG	GAG	GCT	GCT	TAC	AAG	CTC	GGC	AAG	GTC	AAG	383
	His	Arg	Ile	Thr	Asp	Glu	Glu	Ala	Ala	Tyr	Lys	Leu	Gly	Lys	Val	Lys	
					575			580					585				
60	CGA	GTC	CAG	GTT	GGC	AAG	AAG	GGT	ATC	CCC	TAC	CTC	GTC	ACC	CAC	GAC	431
	Arg	Val	Gln	Val	Gly	Lys	Lys	Gly	Ile	Pro	Tyr	Leu	Val	Thr	His	Asp	
			590					595					600				
	GGC	CGA	ACC	ATC	CGG	TAC	CCC	GAC	CCT	CTC	ATC	AAG	GTC	AAC	GAC	ACC	479
	Gly	Arg	Thr	Ile	Arg	Tyr	Pro	Asp	Pro	Leu	Ile	Lys	Val	Asn	Asp	Thr	
		605				610						615					

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[illegible]

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## CLAIMS

1. A cloned yeast promoter DNA sequence, which comprises
  - 5 a) the DNA sequence from position -241 to -41 shown in SEQ ID NO 1 , or
  - b) an analogue of the DNA sequence defined in a) which
    - i) is at least 90 % homologous with said DNA sequence, or
    - 10 ii) hybridises with the same nucleotide probe as defined in a).
2. The yeast promoter according to claim 1, wherein said DNA sequence in a) is from position -407 to -41 in SEQ ID NO 1.
- 15 3. The yeast promoter according to claim 1 or 2, wherein the yeast promoter is obtainable from a yeast.
4. The yeast promoter according to claim 3, wherein a yeast is a strain of *Yarrowia lipolytica*.
- 20 5. The yeast promoter according to any of claims 1-4, wherein the yeast promoter is a promoter of the EF-1a protein.
6. A cloned yeast promoter DNA sequence, which comprises
  - 25 a) the DNA sequence from -163 to -3 shown SEQ ID NO 2 , or
  - b) an analogue of the DNA sequence defined in a) which
    - i) is at least 90 % homologous with said DNA sequence, or
    - 30 ii) hybridises with the same nucleotide probe as defined in a).
7. The yeast promoter according to claim 6, wherein said DNA sequence in a) is from position -543 to -3 in SEQ ID NO 2.
8. The yeast promoter according to claim 6 or 7, wherein the  
35 yeast promoter is obtainable from a yeast.
9. The yeast promoter according to claim 8, wherein said yeast is a strain of *Yarrowia lipolytica*.

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10. The yeast promoter according to any of claims 6-9, wherein the yeast promoter is a promoter of the ribosomal protein S7 gene.

5

11. The yeast promoter according to any of claim 1 to 5 or any of claim 6 to 10, which is especially useful for expression cloning in yeast, characterised by:

- 10 i) having promoter activity in a selective medium,  
ii) having promoter activity in a medium where it is easy to purify a secreted polypeptide, e.g. a medium which do not comprise degraded protein and  
iii) having promoter activity at least in the pH range from  
15 4 to 11.

12. The promoter according to claim 11, wherein the medium under iii) do not comprise peptone.

- 20 13. The promoter according to claim 11, wherein the pH range is from 5 to 9.

14. An expression vector comprising an yeast promoter according to any of claims 1-13.

25

15. An expression cloning method in yeast, comprising  
(a) cloning, in expression vectors according to claim 14, a DNA library from an organism suspected of producing one or more proteins of interest,

- 30 (b) transforming suitable yeast host cells with said vectors,  
(c) culturing the host cells under suitable conditions to express any protein of interest encoded by a clone in the DNA library, and  
(d) screening for positive clones by determining any activity of a protein expressed in step (c).

35

16. The method according to claim 14, wherein said yeast is a strain of *Yarrowia lipolytica*.

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17. A recombinant expression vector comprising

i) a promotor according to any of claims 1 to 5 or 6 to 10  
or 11 to 13 and,

5 ii) a DNA sequence coding for a protein of interest.

18. The recombinant expression vector according to claim 17,  
wherein said promotor is according to any of claims 1 to 5.

10 19. The recombinant expression vector according to claim 17,  
wherein said promotor is according to any of claims 6 to 10.

20. The recombinant expression vector according to claim 17,  
wherein said promotor is according to any of claim 11 to 13.

15 21. A yeast host cell transformed with a recombinant expression  
vector according to any of claims 17-20.

22. A process for producing a protein in yeast comprising  
20 culturing a yeast host cell transformed with a recombinant  
expression vector according to any of claims 17-20 under  
conditions permitting production of said protein, and recovering  
the resulting protein from the culture.

25 23. The process according to claim 22, wherein said yeast host  
cell is a strain of *Yarrowia lipolytica*

24. Use of a produced protein according to claim 22 for various  
industrial applications.

30 25. The use according to claim 24, wherein said produced protein  
is an enzyme.



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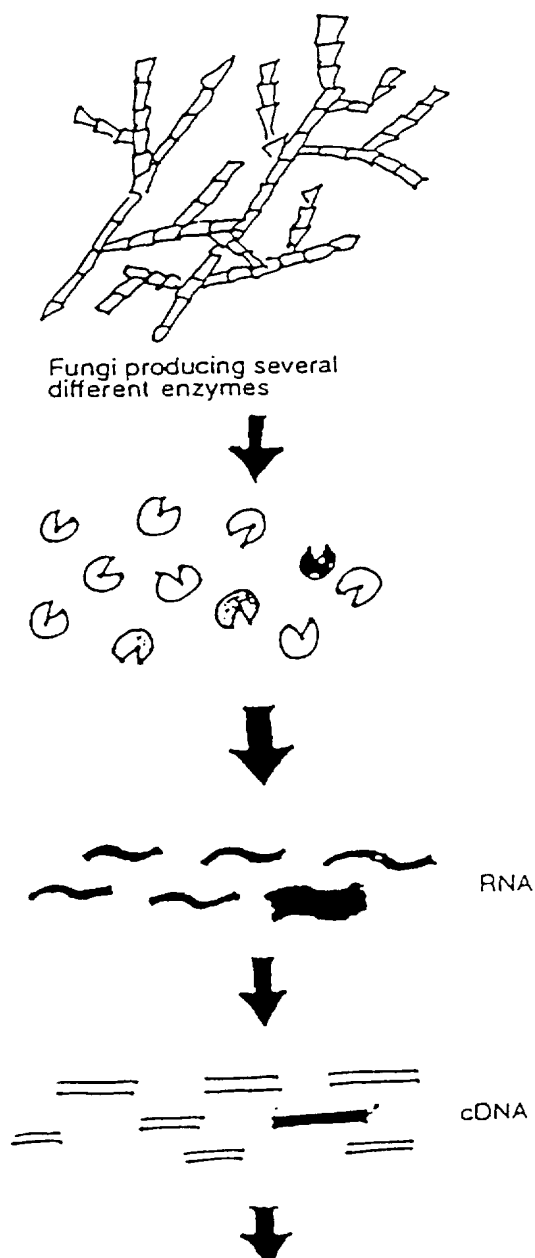


Fig. 1

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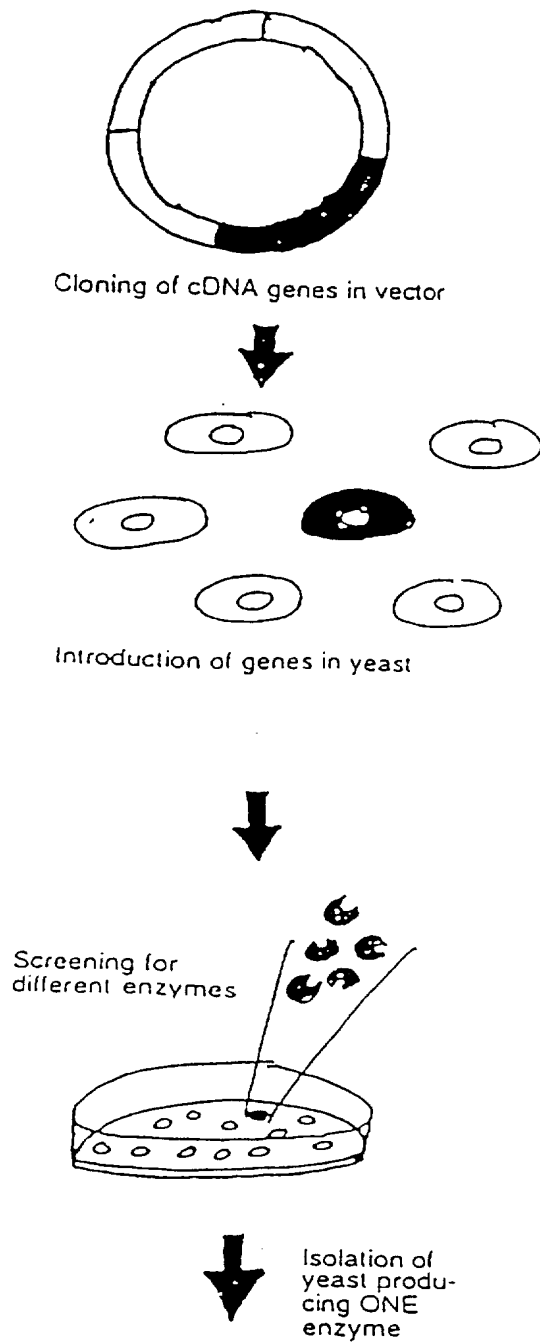


Fig. 1

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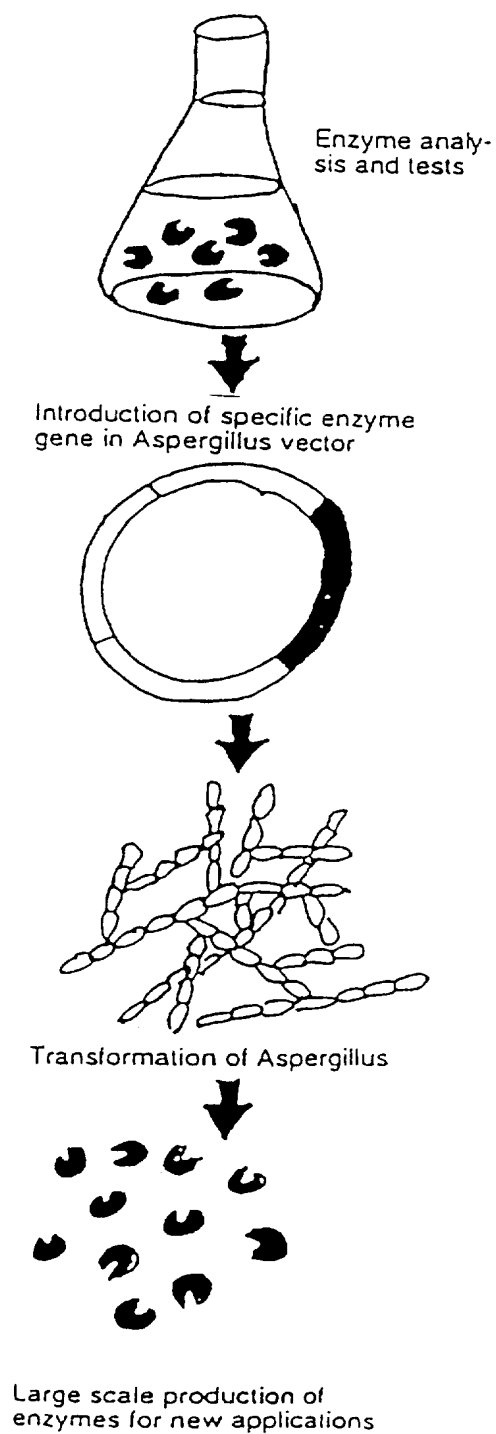


Fig. 1

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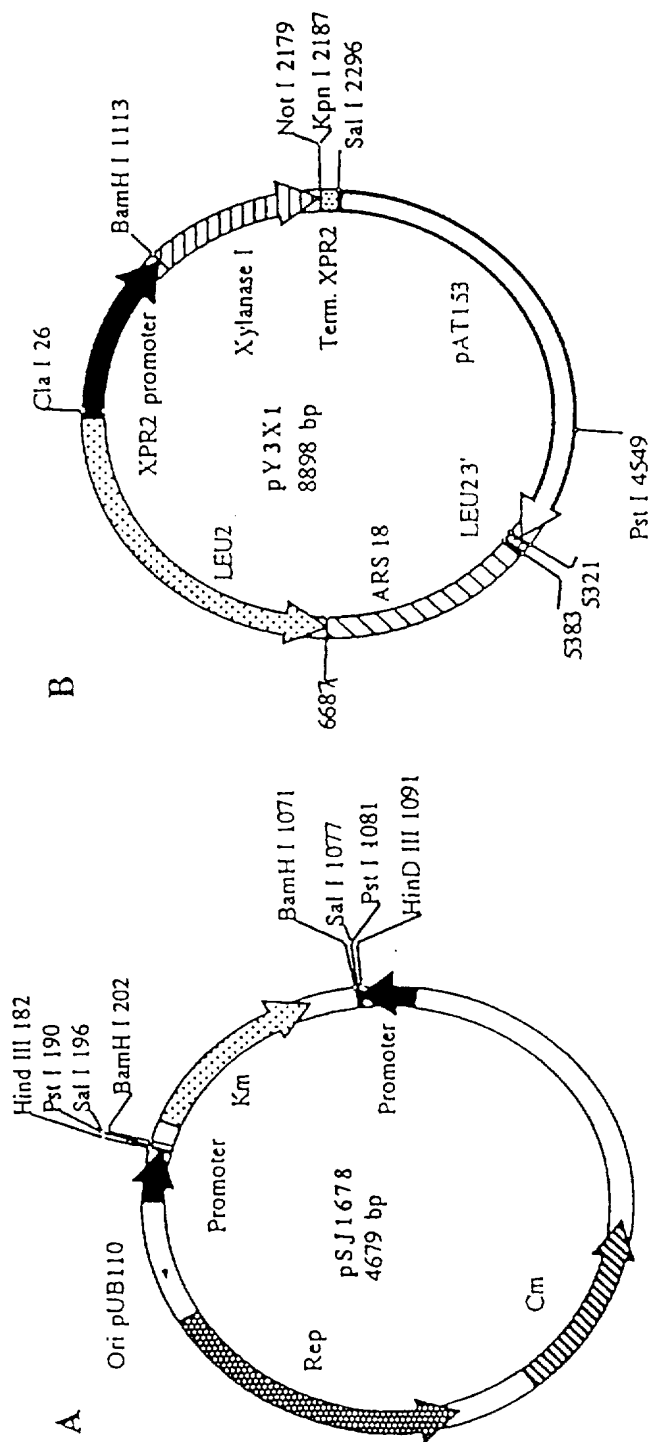


Fig. 2

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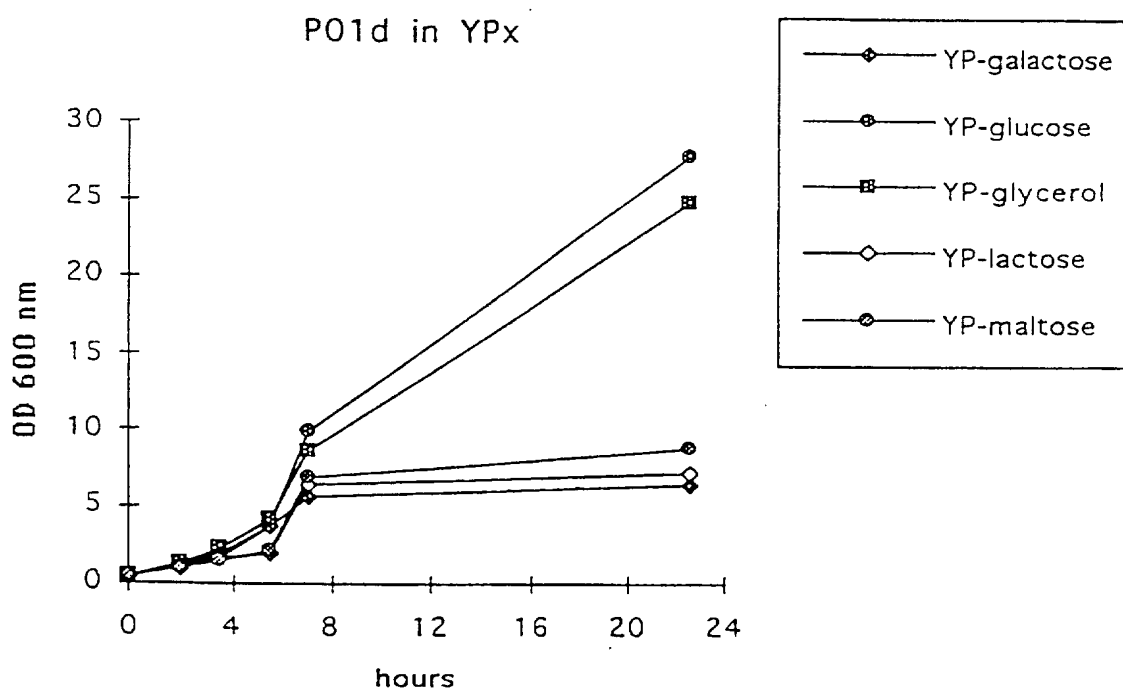


Fig. 3

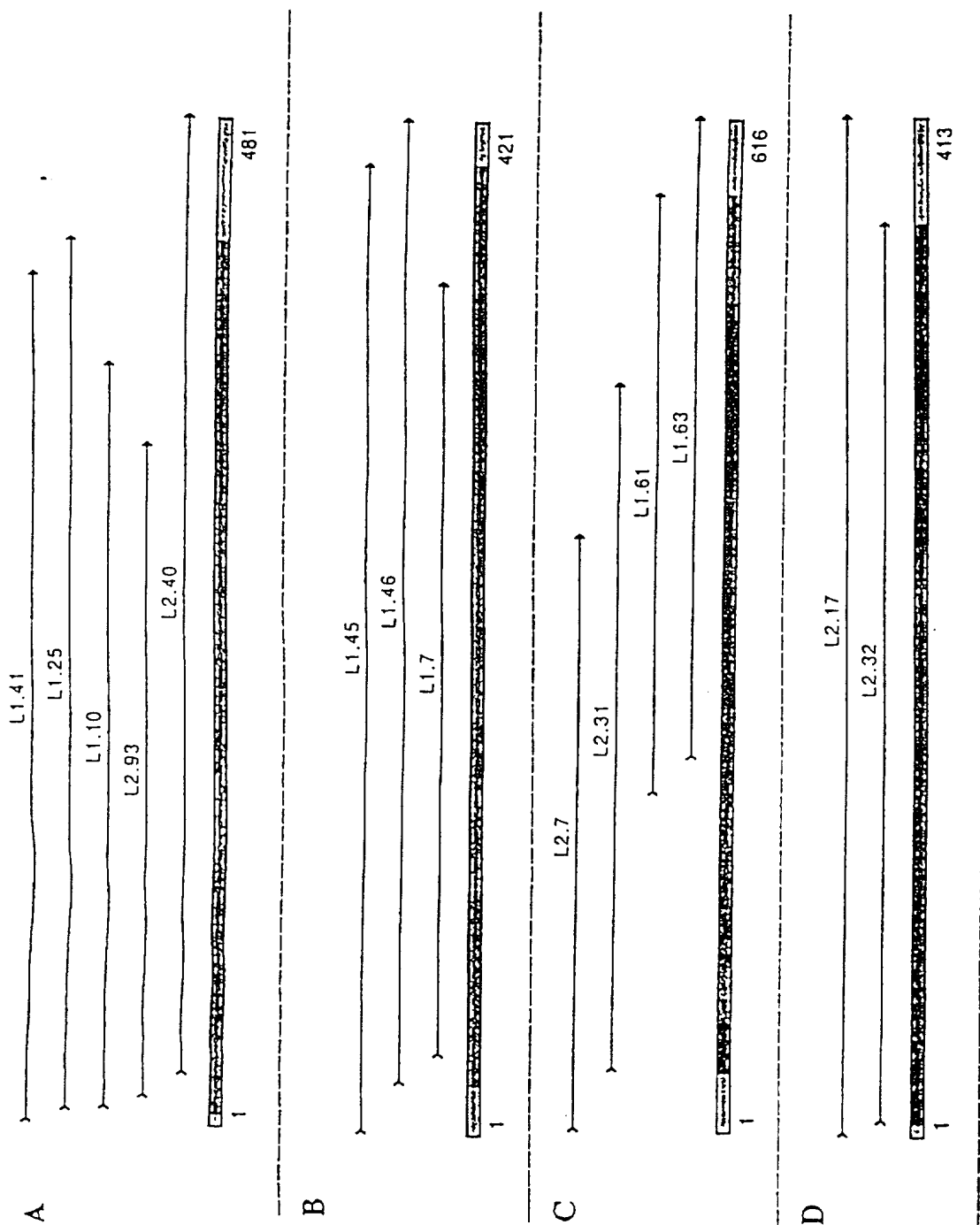


Fig. 4

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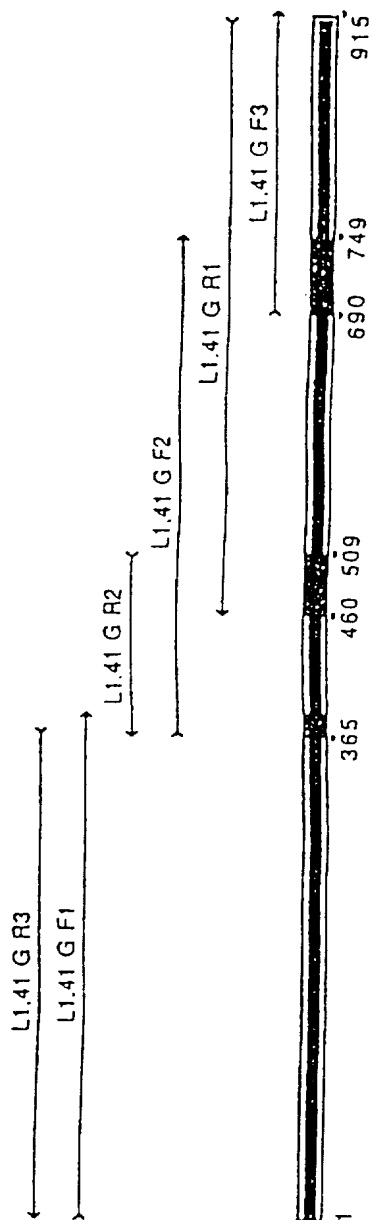


Fig. 5

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Fig. 6



ATCGTTAAGCATTTCCTTCTGAGTATAAAGATCATTCAAAAATGGGAAAGGAAAAGACTCACGTTAAACCTCGTTTGTCATCGGGTCACGTCGA 90  
M G K E K T E V N L V V I G E V D

TGCCGGTAAGTCCACCACCCTGCTGCTACCTTATCTACAAGTGGCGGTGGTATCGATAAGCGAACCATCGAGAAGTTCGAGAAGGAGGCCGA 180  
A G K S T T T G E L I Y K C G G I D K R T I E K F E K E A D

CGAGCTTGGAAAGGGTTCTTTCAAGTACGCTTGGGTCTTTGACAAGCTTAAGGCTGAGCGAGAGCGAGGTATCACCATTGATATTGCTCT 270  
E L G K G S F K Y A W V L D K L K A E R E R G I T I D I A L

CTGGAAGTTCAGACCCCTAAGTACTACGTCACCGTTATTGATGCTCCCGGTCACCGAGATTTTCATCAAGAACATGATCACCGGTACCTC 360  
W K F Q T P K Y Y V T V I D A P G H R D F I K N M I T G T S

CCAGGCCGACTGTGCCATCCTCATCATTTGCTGGTGGTGGTGGTGAGTTTCGAGGCTGGTATCTCCAAGGACGGTCAGACCCGAGAGCACGC 450  
Q A D C A I L I I A G G V G E F E A G I S K D G Q T R E E A

TCTGCTCGCTTTACCCCTCGGTGTCAAGCAGCTGATTGTTGCCATCAACAAGATGGACTCCGTCAGTGGTCTCAGGATCGATACAACGA 540  
L L A F T L G V K Q L I V A I N K M D S V K W S Q D R Y N E

GATCTGCAAGGAGACCGCCAACTTCGTCAAGAAGGTGGTTACAACCCCTAAGTCTGTCCCTTTGTCCCTATTTCCGGATGGAACGGTGA 630  
I C K E T A N F V K K V G Y N P K S V P F V P I S G W N G D

CAACATGATTGAGGCCCTCCACCAACTGTGACTGGTACAAGGGCTGGACCAAGGAGACCAAGGCCGGTGAGGTCAAGGGTAAGACCCCTCT 720  
N M I E A S T N C D W Y K G W T K E T K A G E V K G K T L L

TGAGGCCATTGACGCCATTGAGCCCCCGTGCGACCCCTCCGACAAGCCCTCCGACTTCCTCTCCAGGATGCTCACAAGATCGGTGGTAT 810  
E A I D A I E P P V R P S D K P L R L P L Q D V Y K I G G I

CGGCACAGTGGCCGTTGGCCGAGTCGAGACCGGTGTTATCAAGGCCGGTATGGTTGTACCTTCGCTCCCGCCAACGTGACCACTGAGGT 900  
G T V P V G R V E T G V I K A G M V V T F A P A N V T T E V

CAAGTCTGTCGAGATGCACCACGAGATCTCCCGACGGAGGTTTCCCGGTGACAACGTTGGCTTCAACGTCAGAACGTTTCCGTCAA 990  
K S V E M H H E I L P D G G F P G D N V G F N V K N V S V K

GGATATCCGACGAGGTAACGTTGCCGGTGACTCCAAGAACGACCCCCCTAATGGCTGCGACTCTTTCAACGCTCAGGTCAATTGTTCTTAA 1080  
D I R R G N V A G D S K N D P P N G C D S F N A Q V I V L N

CCACCCCGGTCAGATCGGTGCTGGTTACGCTCCCGTTCTTTGATTGCCACACTGCCACATTGCCTGCAAGTTTCGACACCCCTGATCGAGAA 1170  
H P G Q I G A G Y A P V L D C H T A H I A C K F D T L I E K

GATCGACCGACGAACCGGTAAGAAGATGGAGGACTCCCCCAAGTTTCATCAAGTCTGGTGATGCCGCCATTGTCAAGATGGTCCCCCTCCAA 1260  
I D R R T G K K H E D S P K F I K S G D A A I V K M V P S K

GCCCATGTGTGTTGAGGCCTTCACTGAGTACCCCCCTCTTTGGTGGATTGCGCGTCCGAGACATGCGACAGACCGTTGCTGTGCGGTGTCAT 1350  
P M C V E A F T E Y P P L G R F A V R D M R Q T V A V G V I

CAAGTCCGTCGAGAAGTCCGACAAGGCTGGTGGAAAGGTCACCAAGGCTGCCCAGAAGGCTGCCAAGAAATAAGCTGCTGTGTACCTAGTG 1440  
K S V E K S D K A G G K V T K A A Q K A A K K \*

CAACCCCGAGTTTGTAAAAAATTAGTAGTCAAAAACCTCTGAGTTAAAAAAAAAAAAAAAAAAAA

SUBSTITUTE SHEET (RULE 26)

10/14

*Bam*HI  
TCGACGGATCCACTTGTATGGCTCCAAGTTCAGTGTACCAAGTAGTTGGTGATGCAGGGAGGGATGTCTCTATC +687  
CACCAATAATGAACTCATGGGCGAAATTGTTTCTGTTAAACACTCCAAGTTCGTTTTAAATCTCATTCTCTTT +613  
GCATTTGGACTCCATTCGCTTCCGTTGGGCCATATAATCCATCGTAACGTACTTTAGATGGAAATTTAGTTACC +539  
TGCTACTTGTCTCAACACCCCCAACAGGGGCTGTTGACAGAGGTAATAGAGCGTCAATGGGTAAATAAAAACAC +465  
ACTGTCGATTTTCACTCATTGTCTTTATGATATTACCTGTTTTCCGCTGTTATCAATGCCGAGCATCGTGTTAT +391  
ATCTTCCACCCCAACTACTTGCATTTACTTAACTATTACCTCAACTATTTACACCCCGAATTGTTACCTCCCAA +317  
TAAGTAACTTTATTTCAACCAATGGGAAGAGAGCATCTCTGAGAACATGATCTATCTCTGTCAATATTGCCCA +243  
GAATGTTTCGAAAAAAACACCAAAAGGTTTACA *Cla* GCGCCATTATAAATATAAATTCTCTGTCAATTTCCCGCA +169  
ATGTCTGTTGAAATCTCATTTTGAGACCTTCCAACATTACCCTCTCTCCCGTCTGGTCACATGACGTGACTGCT +95  
TCTTCCAAAACGAAACTCCCAACTCTTCCCCCGTCAAGTGAAAAGTATACATCCGACCTCCAAATCTTTTC +21  
TTCACTCAACAAACACAAAATGGCCGAGGACCGTGAGTATCCCCACCCCCGATCAGATGAGGCACAGACC 54  
AGGCTAGCCCATCGCTTTTAGAAGAAGGATAAGGGCTGTTCTGGGTGTGTCAAGAGGAGATGATGACGAGAAGC 128  
AAAGAGCTTGGACTCAGTCGCCTCTGCCCCACGAACTAACTAACGCCAG CAAGAAGCATCTC → 192

Fig. 8

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AAATGGCCCGAGGACCCAAGAAGCATCTCAAGCGACTCGCAGCTCCCTCCCACTGGATGCTGGACAAGCTGTCCGGCACCTACGCTCCCC 90  
M A R G P K K H L K R L A A P S H W M L D K L S G T Y A P

GATCGTCTGCCGGTCCCCACAAGCTGCGAGAGTCTCTGCCTCTCGTCACTCTTCCTGCGAAACCGTCTCAAGTACGCCCTGAACGGCCGAG 180  
R S S A G P H K L R E S L P L V I F L R N R L K Y A L N G R

AGGTTAACGCCATTCTCATGCGAGCGACTGGTCAAGGTGCGACGGCAAGGTCCGAACCGACTCCACTTTCCCGCTGGCTTCATGGATGTCA 270  
E V N A I L M Q R L V K V D G K V R T D S T F P A G F M D V

TCCAGCTCGAGAAGACCGGCGAGAACTTCCGACTTGCTACGACGTCAAGGGCCGATTTGCCGTCCACCGAATCACCGATGAGGAGGCTG 360  
I Q L E K T G E N F R L V Y D V K G R F A V H R I T D E E A

CTTACAAGCTCGGCAAGGTCAAGCGAGTCCAGGTTGGCAAGAAGGGTATCCCTTACCTCGTCACCCACGACGGCCGAACCATCCGGTACC 450  
A Y K L G K V K R V Q V G K K G I P Y L V T H D G R T I R Y

CCGACCTCTCATCAAGGTCAACGACACCGTCAAGATCGACCTGGCCACCGGCAAGATCACCTCTTTCTGTCGAAGTTTGAGAACGGTAACA 540  
P D P L I K V N D T V K I D L A T G K I T S F V K F E N G N

TTGTCTATGACCACCGGAGGTGAAACATGGGCCGAGTCGGGCACCATCACCCACCGAGCGACATGAGGGTGGCTTCGATATCGTCCACA 630  
I V M T T G G R N M G R V G T I T H R E R H E G G F D I V H

TCAAGGACGCTCTTGACAACCAAGTTTGTACCCGACTCACTAACGTTTTCTGTTATCGGTGAGGGCAACAAGTCTCTCATCTCTCTGCCCA 720  
I K D A L D N Q F V T R L T N V F V I G E G N K S L I S L P

AGGGCAAGGGTATCAAGCTCTCCATTGCTGAGGAGCGAGATGCCCGACGAGCCAAGCAGGAGTAAGTTTCAGATTGGAACAACATTGGTTT 810  
K G K G I K L S I A E E R D A R R A K Q E \*

AGCTAAAAAAAAAGGATTCATGTTTAAAAAAAAAAAAAAAAAAAAA

Fig. 9

SUBSTITUTE SHEET (RULE 26)

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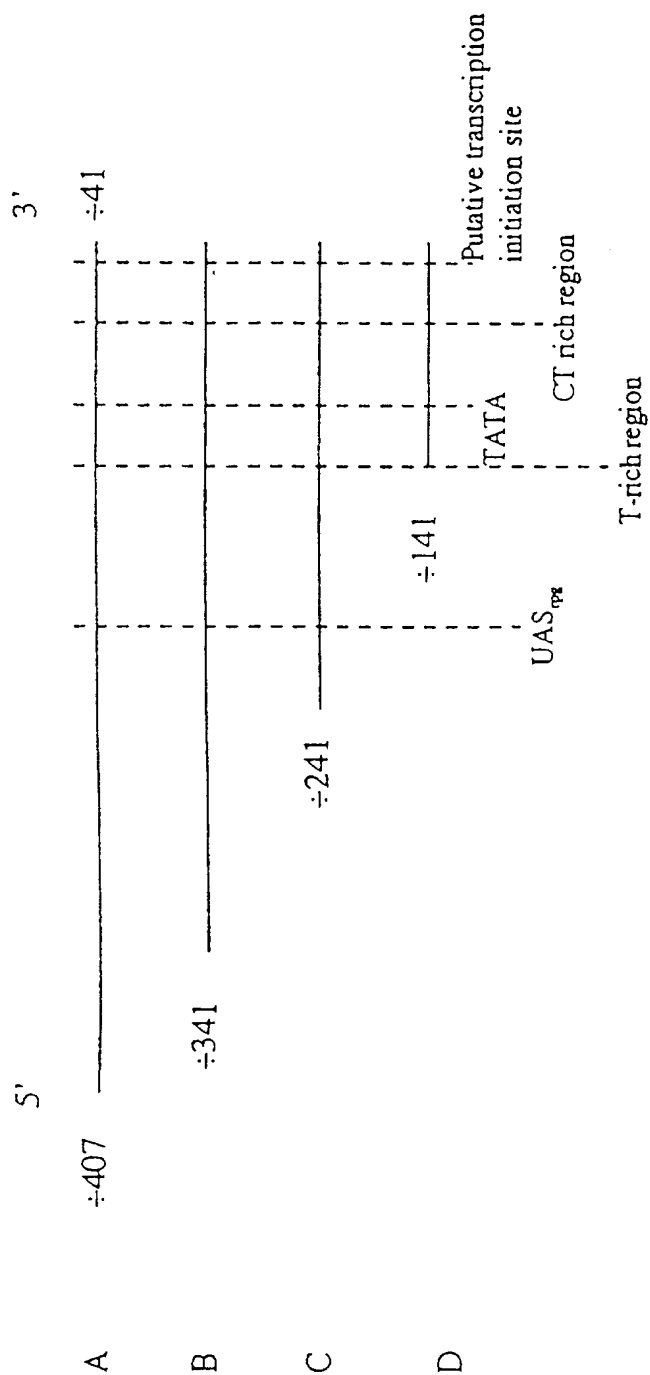


Fig. 10

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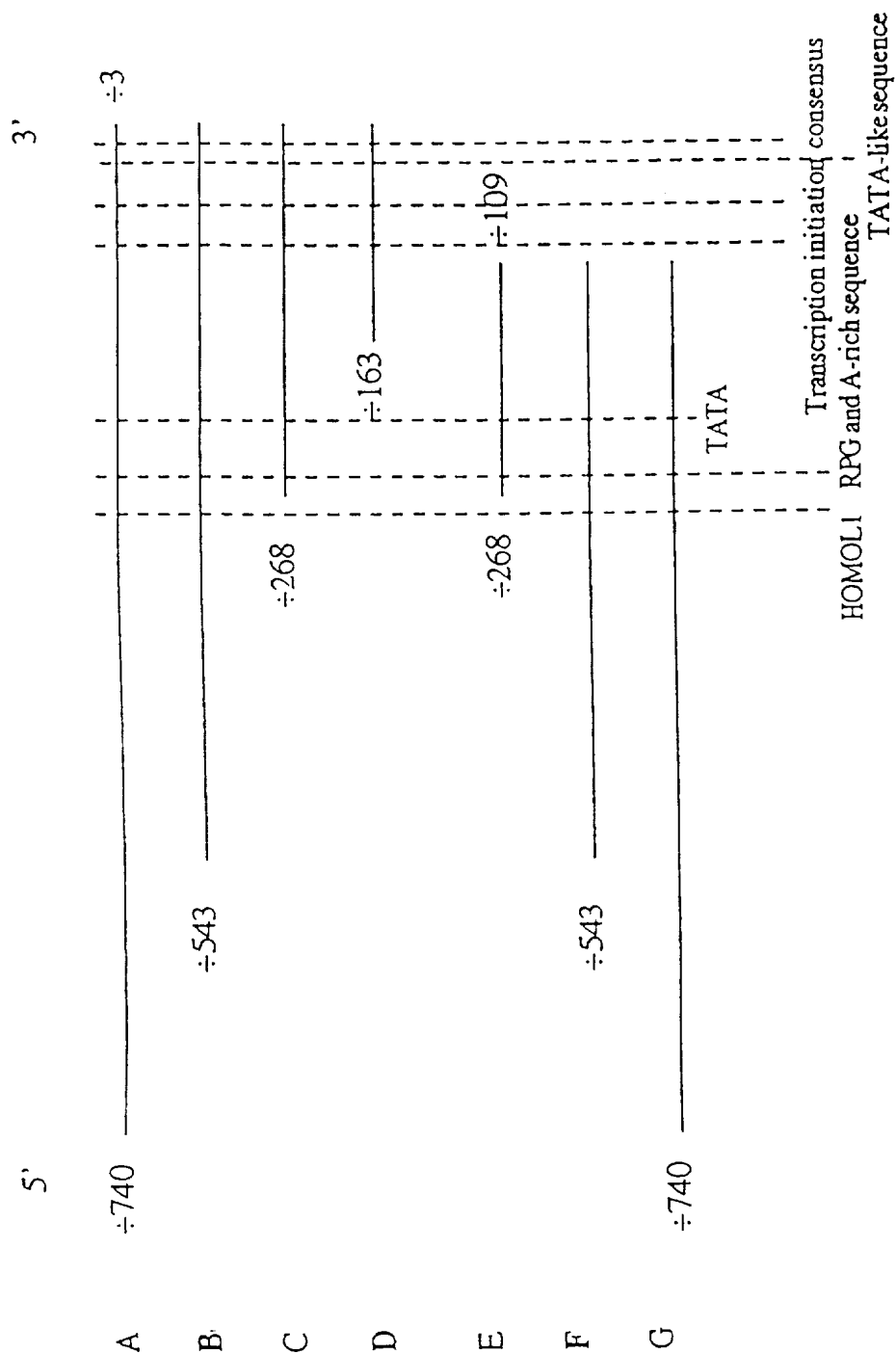


Fig. 11

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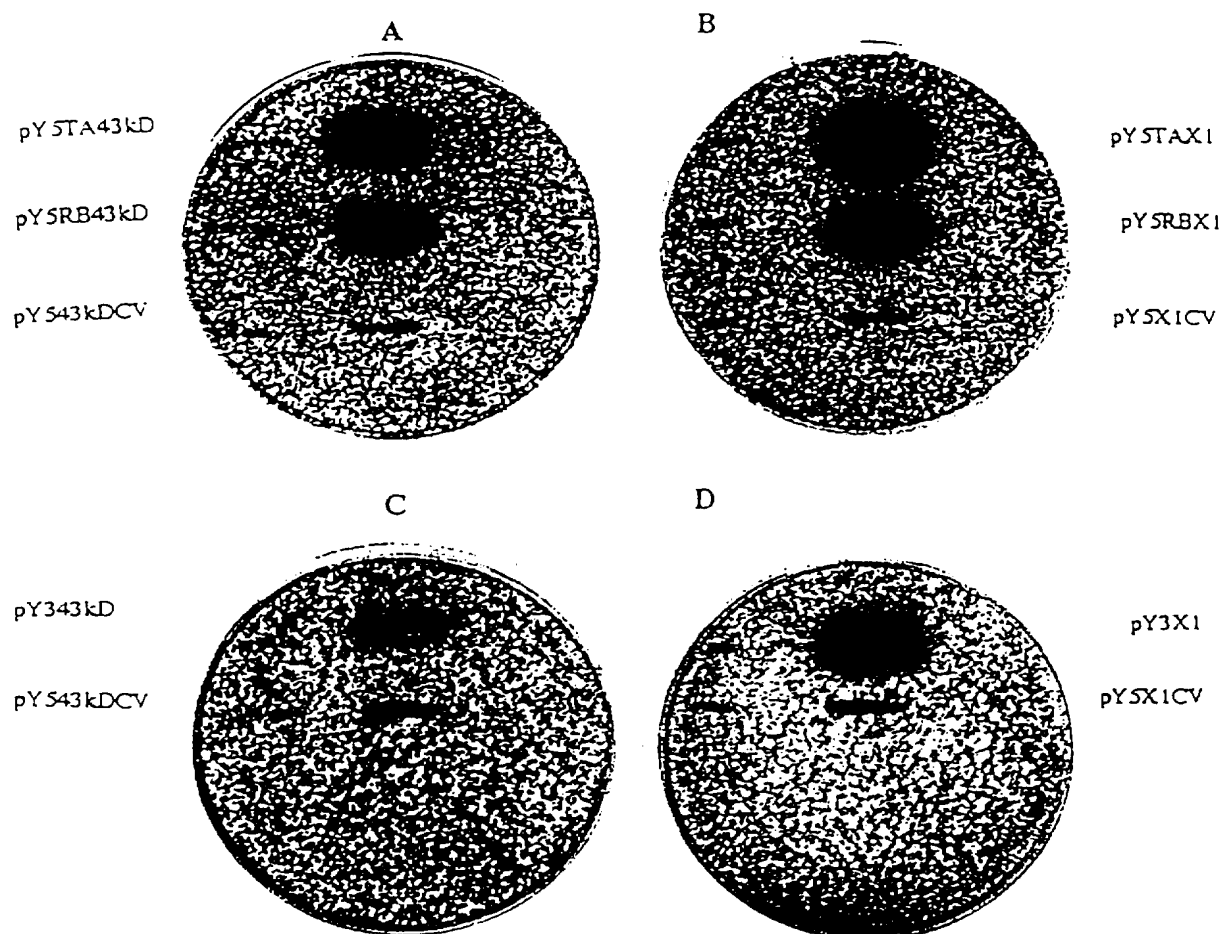


Fig. 12

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 97/00232

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C12N 15/81, C12N 1/19

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, WPI, PAJ, MEDLINE, BIOSIS, DBA, CA, EMBL/GENBANK/SWISSPROT/DDBJ (ST RAND)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9506739 A1 (PFIZER INC.), 9 March 1995 (09.03.95)	1-25
	--	
A	EP 0402226 A1 (INSTITUT NATIONAL DE LA RECHERCHE AGRONOMIQUE), 12 December 1990 (12.12.90)	1-25
	-- -----	

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "&" document member of the same patent family

Date of the actual completion of the international search

28 August 1997

Date of mailing of the international search report

02-09-1997

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Facsimile No. +46 8 666 02 86

Authorized officer

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Telephone No. +46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 97/00232

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
see next sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.



According to rule 13.2, an international application shall relate to one invention only or a group of inventions linked by one or more of the same or corresponding "special technical features", i.e. features that define a contribution which each of the inventions makes over prior art.

The present application fails *a priori* to comply with 13.2, as no unifying link has been found and the application comprises the following inventions:

Invention 1, claims 1-5 completely and 11-25 partially: A yeast promoter for EF-1 alpha protein gene.

Invention 2, claims 6-10 completely and 11-25 partially: A yeast promoter for ribosomal protein S7 gene.

In spite of the non-unity both inventions have been searched.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

PCT/DK 97/00232

Patent document cited in search report			Publication date	Patent family member(s)			Publication date
WO	9506739	A1	09/03/95	CA	2169942 A	09/03/95	
				EP	0716704 A	19/06/96	
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